

CODE OF RULES

REGULATIONS

on Tariffs and Fees

Approved

by a resolution of the Board of Directors
JSC "Central Securities
"

(Minutes of the absentee vote
No. 30 (z) dated May 14, 2026)

Enacted

from June 01, 2026

(for exceptions, see
the entry into force information sheet)

INFORMATION SHEET

on Entry into Force

Regulations on Tariffs and Fees in the new edition will become effective since June 1, 2026 in accordance with the resolution of the Central Securities Depository's Board of Directors (minutes of the absentee voting No. 30 (3) dated May 14, 2026) with the following exception:

- if the calculation period for any tariff or fee is a calendar quarter, the accrual of such tariff or fee under the new edition of the Regulations on Tariffs and Fees will commence from the beginning of the new calendar quarter, namely from July 1, 2026.

AMENDMENT SHEET

1. Change No. 1:

- approved by the decision of the Board of Directors of Central Securities Depository JSC (Absentee voting minutes No. 57 (3) dated August 18, 2026);
- effective from September 08, 2026.

**The Code of Rules of Central Securities Depository JSC:
Regulations on Tariffs and Fees**

CONTENT

	Preamble	8
Chapter 1	Introductory Provisions	8
Article 1	Definitions, Terms, Abbreviations, and Verbal Provisions	8
Article 2	Scope of these Regulations	10
Article 3	Methods of Calculating Rates and Fees	11
Article 4	Specifics of Applying the Billing Period	11
Article 5	Methods of Payment of Rates and Fees	12
Article 6	Central Securities Depository Accounts	12
Chapter 2	Central Securities Depository Fees	13
Article 7	General Provisions on Tariff Rates	13
Article 8	Types of Tariffs	13
§ 1	Basic Tariffs	14
Article 9	Fees for the operation of the system of registers of securities holders and partners in partnerships	14
Article 10	Fees for the operation of the registry system for holders of digital financial assets	15
Article 11	Fees for the performance of depository activities	15
Article 12	Fees for the performance of activities for the accounting and custody of digital assets	16
Article 13	Fees for the performance of custody activities	16
Article 14	Fees for the performance of certain types of banking operations	16
Article 15	Fees for performing activities as a payment agent	16
Article 16	Fees for performing activities for the accounting of unclaimed funds	15
Article 17	Fee for activities for assigning identifiers	17
Article 18	Fees for performing activities in performing the functions of the counting commission	17
§ 2	Separate and Additional Fees	17
Article 19	Types of separate tariffs	17
Article 20	Types of Additional Tariffs	17
Article 21	Basic rates, to which additional rates are added	19
Chapter 3	Fees	19
Article 22	General Provisions on Fees	19
Article 23	Types of Fees	20
Article 24	Collection of Fees from Third-Party Organizations	20
Article 25	Fee for KISC/NPC services for money transfers via the IFTS	21

**The Code of Rules of Central Securities Depository JSC:
Regulations on Tariffs and Fees**

Article 26	Fee for performing activities in the performance of the functions of the counting commission	22
Chapter 4	Payment of Fees and Charges	22
Article 27	General Provisions on the Payment of Tariffs and Fees	22
Article 28	General Provisions on the Preparation and Submission individual and summary invoices	23
Article 29	Methods and dates for presenting individual and consolidated accounts	23
Article 30	Payment of individual and summary invoices	24
Article 31	Consequences of Non-Payment of Individual and Consolidated Invoices	25
Article 32	Resumption of Services	26
Chapter 5	Special Provisions	27
Article 33	Payment of fees by the issuer or partnership on behalf of security holders (partners)	27
Article 34	Payment of fees and charges by the depositor's client	27
Chapter 6	Final Provisions	29
Article 35	Limitation on the Total Amount of Tariffs and Fees	29
Article 36	Other Provisions	29
Annexes		
Group of Annexes 1	Activities related to the maintenance of the registry system of securities holders and partners in partnerships	30
	Fee for accepting partnership interests for registration services	
Appendix 1.1	Fee Specifications	31
	Subscription fee	
Appendix 1.2	Tariff Specifications	32
Appendix 1.3	Rate Schedule	35
Appendix 1.4	Procedure and examples for calculating the tariff	36
	Fee for opening a personal account for the holder	
Appendix 1.5	Fee Specifications	38
Appendix 1.6	Fee rates	39
	Fee for registering a transaction (operation, event)	
Appendix 1.7	Fee Specifications	40
Appendix 1.8	Rate Schedule	42
	Rate for Information Services	
Appendix 1.9	Tariff Specifications	43
Appendix 1.10	Rate Schedule	46

**The Code of Rules of Central Securities Depository JSC:
Regulations on Tariffs and Fees**

Appendix Group 2	Activities related to the maintenance of the registry system for holders of digital financial assets	47
Appendix 2.1	[reserved]	48
Appendix Group 3	Depository Activities	49
	Fee for opening a personal account	
Appendix 3.1	Fee Details	50
Appendix 3.2	Fee rates	51
	Personal Account Maintenance Fee	
Appendix 3.3	Fee Schedule Details	52
Appendix 3.4	Fee rates	54
	Fee for opening a subaccount	
Appendix 3.5	Tariff Specifications	55
	Fee for maintaining a subaccount	
Appendix 3.6	Tariff Specifications	56
Appendix 3.7	Fee rates	57
Appendix 3.8	Tariff Calculation Procedure	58
	Fee for registering a transaction (operation, event)	
Appendix 3.9	Fee Specifications	61
Appendix 3.10	General tariff rates	63
Appendix 3.11	Special tariff rates	65
	Tax Administration Fee for U.S. Instruments	
Appendix 3.12	Fee Specifications	66
Appendix 3.13	Fee Rates	67
	Fee for Information Services	
Appendix 3.14	Tariff Specifications	68
Appendix 3.15	Fee Rates	70
Appendix Group 4	Digital Asset Accounting and Custody Activities	71
Appendix 4.1	[reserved]	72
Appendix Group 5	Custodial Activities	73
Appendix 5.1	[reserved]	74
Appendix Group 6	Conducting Certain Types of Banking Operations	75
	Fee for opening a bank account	
Appendix 6.1	Fee Details	76
Appendix 6.2	Fee rates	77
	Money Transfer Fee	
Appendix 6.3	Fee Details	78
Appendix 6.4	Rate Schedule	80
	Rate for information services	
Appendix 6.5	Tariff specifications	81

**The Code of Rules of Central Securities Depository JSC:
Regulations on Tariffs and Fees**

Appendix 6.6	Rate Schedule	83
	Fee for crediting funds in foreign currency	
Appendix 6.7	Fee Details	84
Appendix 6.8	Fee rates	85
	Fee for tracing the status of a payment	
Appendix 6.9	Fee Specifications	86
Appendix Group 7	Activities related to the performance of payment agent functions	87
	Tariff for the transfer of amounts due to eligible holders	
Appendix 7.1	Fee Schedule	88
Appendix 7.2	Fee rates	89
Appendix Group 8	Activities Related to the Accounting of Unclaimed Funds	90
	Fee for accepting unclaimed funds	
Appendix 8.1	Fee Specifications	91
Appendix 8.2	Fee rates	92
	Rate for Investing Unclaimed Funds	
Appendix 8.3	Tariff Specifications	93
Appendix Group 9	Identifier assignment activities	95
	Tariff for the provision of identifier assignment services	
Appendix 9.1	Fee Specifications	96
Appendix Group 10	Activities related to the performance of the functions of the counting commission	97
	Tariff for services rendered in the performance of the functions of the counting commission	
Appendix 10.1	Fee Specifications	98
Appendix 10.2	Tariff Rates	99
Appendix Group 11	Separate Rates	100
	Software maintenance rate	
Appendix 11.1	Rate Specifications	101
Appendix 11.2	Rate Schedule	102
	Fee for Use of the Electronic Voting System	
Appendix 11.3	Tariff Specifications	103
	Fee for issuing an electronic digital signature	
Appendix 11.4	Tariff Specifications	104

These Regulations establish the structure of fees and charges levied by JSC "Central Securities Depository" (hereinafter – the Central Securities Depository) in the course of its activities in accordance with the Central Securities Depository's Rules (hereinafter – the Rules), the conditions and procedure for calculating these fees and charges, including their rates (amounts), the procedure and deadlines for paying these fees and charges, as well as liability for non-payment (late or incomplete payment).

These Regulations constitute an integral and inseparable part of the Rules.

Chapter 1. INTRODUCTORY PROVISIONS

Article 1. Definitions, terms, abbreviations, and verbal qualifications

1. These Regulations use basic and other concepts, terms, and abbreviations defined by the legislation of the Republic of Kazakhstan, the Central Securities Depository's internal document "Introduction to the Rules of JSC 'Central Securities Depository'," as well as the following internal documents of the Central Securities Depository that form part of the Rules:
 - 1) "Rules for the Conduct of Registrar and Depository Activities" (only Chapter 5-1, "Tax Administration for Instruments Issued in Accordance with U.S. Law");
 - 2) "Rules for the Provision of Payment Agent Services";
 - 3) "Rules for the Provision of Services for the Accounting of Unclaimed Funds";
 - 4) "Rules for Assigning Identifiers";
 - 5) "Rules for the Provision of Services Related to the Functions of the Counting Commission."
2. Other terms and abbreviations used in these Regulations shall have the following meanings:

"ARM" – (from the phrase "automated workstation") specialized software of the Central Securities Depository designed to create, store, and send operational documents to the Central Securities Depository and receive such documents from the Central Securities Depository in electronic form;

"Unified Registrar" – JSC "Unified Registrar of Securities," reorganized through its merger with the Central Securities Depository effective January 1, 2019;

"IS ESF" – the "Electronic Invoices" information system operated by the State Revenue Committee of the Ministry of Finance of the Republic of Kazakhstan;

"Operating Regulations" – the Central Securities Depository's internal document "Operating Regulations," which forms part of the Rules;

"Rules for the Conduct of Registrar and Depository Activities" – an internal document of the Central Securities Depository titled "Rules for the Conduct of Registrar and Depository Activities," which forms part of the Code of Rules;

"Board" – the Board of the Central Securities Depository;

"Settlement Period" – the period for which a fee or charge is calculated;

"Central Securities Depository fee" or "fee" – a payment to reimburse the Central Securities Depository for expenses it incurs in direct connection with the provision of its services;

"Third-Party Organization Fees" – tariffs, fees, commissions, contributions, fines, penalties, recoveries, and other amounts charged (imposed, collected) by a third-party organization, including amounts charged (imposed, collected) by it for the purpose of paying taxes and other state and equivalent fees that relate to instruments and funds accounted for by the Central Securities Depository;

"Board of Directors" – the Board of Directors of the Central Securities Depository;

"third-party organization" – an organization (e.g., a book-entry organization, a servicing bank, a transfer agent, a payment system operator, a telecommunications operator, a carrier) whose services are necessary for the Central Securities Depository to carry out its activities in accordance with the Rules;

"Central Securities Depository account" or "account" – an invoice or bill presented by the Central Securities Depository for the purpose of paying its fee(s) and/or charge(s);

"Central Securities Depository fee" or "fee" – a charge for the provision of a service by the Central Securities Depository;

"chargeable service" – a service provided by the Central Securities Depository for which it charges a fee;

"chargeable activity" – an activity of the Central Securities Depository within the scope of which it provides fee-based services;

"partnership" – a limited liability partnership;

"instruction" – a document (payment instrument, order, or other possible document) that does not conflict with the legislation of the Republic of Kazakhstan, submitted to the Central Securities Depository and containing an expression of intent to transfer funds;

"file-sharing portal" – the Central Securities Depository's internet portal with equivalent domain names portal.kcsd.kz and sso.kcsd.kz, which is intended for sending electronic copies of documents and other files to the Central Securities Depository and receiving them from the Central Securities Depository, and may be used in accordance with the Central Securities Depository's internal documents by both its clients and other persons who, pursuant to the legislation of the Republic of Kazakhstan and

the Rules, have the right to submit requests to the Central Securities Depository for information and documents.

3. For the purposes of these Regulations:
 - 1) “shares” means both common and preferred shares;
 - 2) “payer of tariffs and fees” means a payer of multiple tariffs and multiple fees, a payer of one tariff or multiple tariffs, a payer of one fee or multiple fees, a payer of one tariff and one fee, a payer of one tariff and multiple fees, or a payer of multiple tariffs and one fee;
 - 3) the term “group of annexes to these Regulations” refers to annexes to these Regulations that are grouped together based on some criterion and whose serial numbers are of the form N.n, where N is the group number common to all such annexes, and n is the unique serial number of the annex within that group.
4. In the Central Securities Depository’s internal documentation and correspondence, in its explanatory and presentation materials, as well as in information distributed (transmitted) by the Central Securities Depository, the following may be used:
 - 1) the phrases "advance payment" and "payment upon receipt"—as equivalents of the phrases "prepayment" and "subsequent payment," respectively;
 - 2) the phrases "advance payment method" and "payment upon delivery method" – as equivalents of the phrases "prepayment method" and "subsequent payment method," respectively.

Article 2. Scope of Application of These Regulations

1. These Regulations establish an exhaustive list of fees and charges levied by the Central Securities Depository in the course of its activities in accordance with the Rules. The Central Securities Depository shall not levy any fees or charges not provided for in these Regulations.
2. These Regulations do not apply to monetary fines levied (imposed, collected) by accounting organizations for failure to execute and/or untimely execution of transactions when accounting organizations apply the EU CSDR regime.

Such fines shall be paid in accordance with the Central Securities Depository’s internal document “Specifics of Conducting Depository Activities and Certain Types of Banking Operations When Accounting Organizations Apply the EU CSDR Regime,” which is part of the Rules.
3. These Regulations do not apply to fees of third-party organizations which, in accordance with the financial statements and other documents of such third-party organizations, as well as data from the Central Securities Depository’s accounting system, directly relate to a specific depositor and/or to instruments and funds that are or were recorded in the personal and bank accounts of that depositor at the Central Securities Depository.

The specifics and procedure for the depositor's payment of such third-party organization fees are established in Chapter 4-1, "Replenishment of a Bank Account at the Request of the Central Securities Depository," of the Central Securities Depository's internal document "Rules for the Conduct of Certain Types of Banking Operations," which is part of the Code of Rules.

Article 3. Methods of Calculating Fees and Charges

1. The Central Securities Depository's fees and charges are classified by method of calculation into time-based and object-based fees.

The calculation of the Central Securities Depository's time-based fees and charges is tied to the duration of the services provided by the Central Securities Depository.

The accrual of the Central Securities Depository's transaction-based fees and charges is tied to the events, circumstances, and facts that serve as the basis for such accrual.

2. When calculating time-based fees and charges, as well as—if provided for by these Regulations—when calculating item-based fees and charges, the Central Securities Depository applies calculation periods consisting of calendar months, calendar quarters, and calendar years (subject to the specific provisions set forth in Article 4 of these Regulations).

The calculation period is determined by these Regulations for each individual type of fee or charge to which the calculation period applies.

Article 4. Specifics of Applying the Calculation Period

1. The billing period may not coincide with a calendar month, calendar quarter, or calendar year if the Central Securities Depository began providing services on a date other than the first day of that month (quarter, year) and/or if the provision of services ended after the end of that month (quarter, year).

In such a case, the billing period is the continuous period of actual provision of services by the Central Securities Depository falling within a calendar month (quarter, year).

2. The continuous period during which the Central Securities Depository actually provided services is calculated in days and determined as follows:
 - 1) this period begins either on the first day of the calendar month (quarter, year) or on the day the Central Securities Depository began providing services (whichever is later);
 - 2) this period ends either on the last day of the calendar month (quarter, year) or on the last day of the Central Securities Depository's provision of services (whichever is earlier).

Article 5. Methods of Payment of Fees and Charges

1. The Central Securities Depository applies two methods of payment for its fees and charges: the prepayment method and the post-payment method (subject to the limitation set forth in paragraph 4 of this Article).
2. When using the prepayment method:
 - 1) the fee payer pays for the Central Securities Depository's service in advance, prior to its provision;
 - 2) the fee payer shall pay in advance to reimburse the Central Securities Depository for expenses it is required to incur in direct connection with the provision of its service, before such expenses are incurred by the Central Securities Depository.
3. When using the deferred payment method:
 - 1) The fee payer pays for the service already provided by the Central Securities Depository;
 - 2) the fee payer shall pay a fee to reimburse the Central Securities Depository for expenses it has already incurred in direct connection with the provision of its service.
4. The Central Securities Depository applies the post-payment method provided that the payer of fees and charges is either the issuer, the management company, the partnership, the depositor, KASE, or the KASE clearing center.

In all other cases, the Central Securities Depository shall apply the prepayment method.

Article 6. Accounts of the Central Securities Depository

1. For the purpose of paying its fees and charges, the Central Securities Depository uses separate and consolidated accounts (subject to the limitation set forth in paragraph 2 of this Article).

Separate accounts shall be prepared and presented by the Central Securities Depository on an ad hoc basis, as its services are rendered, and in other cases provided for by these Regulations.

Summary statements are prepared and submitted by the Central Securities Depository at intervals corresponding to the length of the settlement period.
2. The Central Securities Depository uses consolidated accounts only when it applies the deferred payment method.

In all other cases, the Central Securities Depository uses individual accounts.
3. The Central Securities Depository may provide its service without preparing and presenting an account, provided that payment is made in advance. In such cases, the amounts of the fees and charges applicable to the service are calculated automatically by the Central Securities

Depository's information systems, and if such automatic calculation is not possible, by the payer of the fees and charges independently and/or with the advisory assistance of Central Securities Depository employees.

Other cases in which the Central Securities Depository charges fees and charges without issuing an invoice are provided for in Chapter 2 of these Regulations.

Chapter 2. CENTRAL SECURITIES DEPOSITORY FEES

Article 7. General Provisions on Fee Rates

1. The Central Securities Depository's fee rates are established by the Board of Directors based on proposals from the Management Board through the approval of these Regulations and amendments thereto, in accordance with the approaches set forth in the Central Securities Depository's internal document "Fee Policy."

These Regulations are the sole document of the Central Securities Depository in which the established tariff rates are set forth. Any other documents of the Central Securities Depository establishing tariff rates are null and void.

2. The Central Securities Depository's tariff rates may be established in absolute, relative, and indexed values.
3. In cases where a tariff rate is set in MCI, the MCI rate in effect on the date of such calculation shall be applied when calculating this tariff.

Article 8. Types of Fees

1. The Central Securities Depository's tariffs are divided into the following types:
 - 1) basic fees;
 - 2) separate fees;
 - 3) additional rates.
2. The main fees are those charged by the Central Securities Depository when performing fee-based activities in accordance with the Rules, which include:
 - 1) activities related to maintaining the system of registers of securities holders and partners in partnerships;
 - 2) activities related to maintaining the system of registries of holders of digital financial assets;
 - 3) depository activities;
 - 4) activities related to the accounting and custody of digital assets;
 - 5) custodial activities;

- 6) conducting certain types of banking operations;
 - 7) activities related to performing the functions of a payment agent;
 - 8) activities related to the accounting of unclaimed funds;
 - 9) activities related to the assignment of identifiers;
 - 10) activities related to the performance of the duties of the counting commission.
3. Separate fees include those charged by the Central Securities Depository for the provision of specific fee-based services, which include:
 - 1) software support services;
 - 2) the service of issuing an electronic digital signature;
 - 3) service for providing access to the electronic voting system.
 4. Additional fees include those charged by the Central Securities Depository in addition to the basic fees due to the increased complexity of the business processes required to provide the requested services.
 5. The types of basic, separate, and additional fees are defined in the sections of this chapter, which also specify the numbers of the appendices to these Regulations containing information on the characteristics and rates of the fees, as well as the procedures and examples for calculating the fees.

§ 1. Basic Rates

**Article 9. Tariffs for the performance of activities
for maintaining the system of registries of securities holders
and participants in partnerships**

1. In carrying out activities related to the maintenance of the system of registers of securities holders and partners in partnerships, the Central Securities Depository shall charge the following types of basic fees (subject to the specific provisions set forth in paragraph 2 of this Article):
 - 1) a fee for accepting partnership interests for registration services (Appendix 1.1 to these Regulations);
 - 2) subscription fee (Appendices 1.2–1.4 to these Regulations);
 - 3) fee for opening a holder's personal account (Appendices 1.5 and 1.6 to these Regulations);
 - 4) fee for registering a transaction (operation, event) (Appendices 1.7 and 1.8 to these Regulations);
 - 5) fee for information services (Appendices 1.9 and 1.10 to these Regulations).
2. The Central Securities Depository charges a fee for opening a holder's personal account when the holder's personal account is opened for a legal entity.

Article 10. Fees for activities for maintaining the system of registries of holders digital financial assets

[Reserved].

Article 11. Fees for the performance of depository activities

1. In performing depository activities, the Central Securities Depository shall charge the following types of basic fees (subject to the specific provisions set forth in paragraphs 2 and 3 of this Article):
 - 1) fee for opening a personal account (Appendices 3.1 and 3.2 to these Regulations);
 - 2) the fee for maintaining a personal account (Appendices 3.3 and 3.4 to these Regulations);
 - 3) fee for opening a subaccount (Appendix 3.5 to these Regulations);
 - 4) fee for maintaining a subaccount (Appendices 3.6–3.8 to these Regulations);
 - 5) fee for registering a transaction (operation, event) (Appendices 3.9–3.11 to these Regulations);
 - 6) fee for tax administration of U.S. instruments (Appendices 3.12 and 3.13 to these Regulations);
 - 7) fee for information services (Appendices 3.14 and 3.15 to these Regulations).
2. The Central Securities Depository charges a fee for opening a sub-account when the sub-account is opened for a depositor's client who is a non-resident of the Republic of Kazakhstan or an organization registered in the territory of the AIFC.
3. The fee for registering a transaction (operation, event) is divided into general and special fees.

The general fee for registering a transaction (operation, event) is charged by the Central Securities Depository for all instruments, regardless of the laws of which countries they were issued under.

A special fee for the registration of a transaction (operation, event) is charged by the Central Securities Depository in addition to the general fee for the registration of a transaction (operation, event) if the transaction being registered involves the transfer of foreign instruments into the Central Securities Depository's book-entry system or the withdrawal of foreign instruments from the Central Securities Depository's book-entry system.

Article 12. Fees for Activities for the accounting and custody of digital assets

[Reserved].

Article 13. Fees for the performance of custody activities

[Reserved].

Article 14. Fees for the performance of certain types of banking operations

1. When performing certain types of banking operations, the Central Securities Depository charges the following basic fees (subject to the provisions of paragraph 2 of this Article):
 - 1) fee for opening a bank account (Appendices 6.1 and 6.2 to these Regulations);
 - 2) a fee for money transfers (Appendices 6.3 and 6.4 to these Regulations);
 - 3) fees for information services (Appendices 6.5 and 6.6 to these Regulations);
 - 4) fees for crediting funds in foreign currency (Appendices 6.7 and 6.8 to these Regulations);
 - 5) fee for tracing a payment (Appendix 6.9 to these Regulations).
2. The Central Securities Depository charges a fee for opening a bank account when the bank account is opened for a direct holder.

**Article 15. Fee for performing activities
in performing the functions of a payment agent**

When performing activities related to the functions of a paying agent, the Central Securities Depository charges only one type of basic fee—the fee for transferring amounts due to eligible holders (Appendices 7.1 and 7.2 to these Regulations).

**Article 16. Fees for performing activities
in the maintenance of unclaimed funds**

1. When performing activities related to the accounting of unclaimed funds, the Central Securities Depository charges the following types of basic fees (subject to the provision set forth in paragraph 2 of this Article):
 - 1) fee for accepting unclaimed funds (Appendices 8.1 and 8.2 to these Regulations);
 - 2) a fee for investing unclaimed funds (Appendix 8.3 to these Regulations).

2. The fee for investing unclaimed funds shall be withheld by the Central Securities Depository from the investment income due without the preparation or presentation of an invoice.

Article 17. Fee for activities to assign identifiers

1. When performing activities related to the assignment of identifiers, the Central Securities Depository charges only one type of basic fee—the fee for the provision of identifier assignment services (Appendix 9.1 to these Regulations).
2. The fee for the provision of identifier assignment services is a package fee and includes payment for the Central Securities Depository's services for the simultaneous assignment of ISIN, CFI, and FISN codes.

Article 18. Fee for performing activities in performing the functions of the accounting commission

When performing activities related to the functions of the accounting commission, the Central Securities Depository charges only one type of basic fee—the fee for services related to the functions of the accounting commission (Appendices 10.1 and 10.2 to these Regulations).

§ 2. Separate and Additional Fees

Article 19. Types of separate fees

The Central Securities Depository charges the following types of separate fees:

- 1) a fee for software maintenance (Appendices 11.1 and 11.2 to these Regulations);
- 2) a fee for the use of the electronic voting system (Appendix 11.3 to these Regulations);
- 3) the fee for issuing an electronic digital signature (Appendix 11.4 to these Regulations).

Article 20. Types of Additional Fees

1. The Central Securities Depository charges the following types of additional fees (subject to the specific provisions set forth in paragraphs 3–6 of this Article):
 - 1) for the receipt or issuance of an operational document in the form of an original on paper or via a file-sharing portal;
 - 2) for accepting or issuing a transaction document after the end of the business day;

- 3) for issuing a transaction document in electronic form by a method other than the EDMS method or the UA method;
 - 4) for sending the original or a copy of a transaction document on paper via postal mail;
 - 5) for issuing a document that was prepared based on data from documents previously accepted by the Central Securities Depository and the Unified Registrar in paper form;
 - 6) for the resumption of services.
2. Separate appendices to these Regulations specifying the characteristics and rates of additional fees shall not be prepared.

The rates and procedure for calculating additional fees are specified in those appendices to these Regulations that define the characteristics of the basic fees, in addition to which the additional fees are charged.

3. When accepting operational documents, the Central Securities Depository does not charge an additional fee for the acceptance or issuance of an operational document in the form of an original on paper or via a file-sharing portal in the following cases:
- 1) in accordance with the Rules, an operational document accepted by the Central Securities Depository in the form of a paper original may be submitted to it only in that form;
 - 2) an operational document accepted by the Central Securities Depository as an original on paper or via a file-sharing portal cannot be provided to the Central Securities Depository in electronic form because the “Personal Account” system used to send it does not allow this document to be generated in such a form;
 - 3) an operational document accepted by the Central Securities Depository as an original on paper or via a file-sharing portal cannot be submitted to the Central Securities Depository in electronic form because it cannot be generated in that format in the Central Securities Depository’s information systems due to a system failure.
4. When accepting operational documents, the Central Securities Depository does not charge an additional fee for the acceptance or issuance of an operational document after the end of the business day if the business day was extended in accordance with the Operating Regulations for the acceptance of operational documents due to the occurrence of an emergency situation requiring such an extension, and said document was submitted to the Central Securities Depository within the time period for which the business day was extended.
5. An additional fee for issuing a reporting document in electronic form by a method other than the EDMS method or the UA method shall also apply when providing clients of the Central Securities Depository with copies of operational documents via email in accordance with Article 21-1 of the Central Securities Depository’s internal document “Rules for the Acceptance and Issuance of Operational Documents,” which is part of the

Rules Compendium, provided that the collection of this fee is provided for in the appendices to these Regulations.

6. The Central Securities Depository charges an additional fee for the resumption of services in the cases specified in Article 32 of these Regulations.

Article 21. Basic fees, in addition to which additional fees are charged

1. The Central Securities Depository charges additional fees in addition to the following basic fees:
 - 1) when performing activities related to the maintenance of the system of registers of securities holders and partnership participants:
in addition to the subscription fee;
in addition to the fee for registering a transaction (operation, event);
in addition to the fee for information services;
 - 2) when performing depository activities:
in addition to the fee for maintaining a personal account;
in addition to the fee for registering a transaction (operation, event);
in addition to the fee for issuing a reporting document;
 - 3) when performing certain types of banking operations:
in addition to the fee for transferring funds from a bank account;
in addition to the fee for issuing a reporting document.
2. The additional fee is included in the same invoice as the main fee.

If, in accordance with paragraph 3 of Article 6 of these Regulations, payment for a fee-based service of the Central Securities Depository is made without an invoice being issued, the additional fee shall also be paid without an invoice being issued.

Chapter 3. FEES

Article 22. General Provisions on Fees

1. The Central Securities Depository does not establish fee rates due to the variable nature of the composition and amounts of the Central Securities Depository's expenses, for the reimbursement of which the fees are collected.
2. The composition and amounts of the Central Securities Depository's expenses, for the reimbursement of which fees must be charged, are determined by the Central Securities Depository independently, based on information from third-party organizations relating to such expenses.

3. Upon request from fee and charge payers, the Central Securities Depository shall provide them with copies of documents containing information from third-party organizations regarding the Central Securities Depository's expenses for which fees have been charged.

However, the Central Securities Depository does not disclose information regarding third-party organizations' fee rates to avoid liability for the inaccuracy or obsolescence of such information, but may provide information on where third-party organizations publish details of their fee rates.

Article 23. Types of Fees

The Central Securities Depository shall charge the following types of fees

- 1) a fee for the payment of third-party organizations' fees;
- 2) a fee for the services of the KISC/NPC when transferring funds to the IFTS;
- 3) a fee for performing the functions of the counting commission.

Article 24. Fee for the payment of fees to third-party organizations

1. The fee for the payment of fees to third-party organizations (hereinafter in this Article—the fee) is intended to reimburse the Central Securities Depository for the expenses it incurs in paying fees to third-party organizations.
2. The type of fee (based on the method of calculation) is either time-based or per-transaction, depending on the procedure by which the Central Securities Depository pays fees to third-party organizations.
3. The fee payers are:
 - 1) clients of the Central Securities Depository whose personal and bank accounts at the Central Securities Depository hold or have held instruments and funds subject to third-party fees;
 - 2) issuers to whom the Central Securities Depository provides payment agent services in accordance with its internal document "Rules for the Provision of Payment Agent Services," which is part of the Rules Compendium;
 - 3) clients of depositaries in whose sub-accounts instruments subject to third-party organization fees are or were recorded (in the cases specified in Article 34 of these Regulations).
4. The calculation period for charging the fee coincides with the calculation period for charging the fee for the provision of that service by the Central Securities Depository, in the provision of which it used the services of third-party organizations.
5. The amount of the fee is determined by the Central Securities Depository based on the information contained in the invoices and other documents

submitted (provided) by third-party organizations to the Central Securities Depository for the purpose of paying third-party fees to the Central Securities Depository.

The amount of the fee is determined by the Central Securities Depository separately for each subaccount or bank account opened with it, based on which specific instruments and funds the third-party organizations' fees relate to, and in which specific subaccounts and bank accounts these instruments and funds are or were recorded.

6. Method of fee payment: post-payment method.
7. The fee is included in the consolidated statement for the settlement period following the settlement period for which the fee is charged (subject to the exception set forth in the second paragraph of this section).

If the fee payer is the depositor's client, the fee shall be included in a separate statement for the most recent expired settlement period.

**Article 25. Fee for KISC/NPC Services
for the execution of money transfers in the IFTS**

1. The fee for KISC/NPC services when making money transfers in the IFTS (hereinafter in this article – the fee) is intended to reimburse the Central Securities Depository's expenses incurred when making money transfers in tenge, as well as when registering transactions requiring money transfers by position in the IFTS.
2. Type of fee (by method of calculation) – ad valorem.
3. The payers of the fee are:
 - 1) depositors who submit instructions to the Central Securities Depository for money transfers in tenge and/or who enter into transactions on the unregulated financial market requiring money transfers to depositors' positions in the Central Securities Depository;
 - 2) KASE as the entity that submits instructions to the Central Securities Depository for money transfers in tenge;
 - 3) the KASE clearing center as the entity that submits instructions to the Central Securities Depository for money transfers in tenge and orders to register transactions concluded on the organized financial market that require money transfers against a depositor's position (depositors' positions) in the IFTS.
4. The calculation period for the fee is a calendar month.
5. The fee amounts are determined by the Central Securities Depository based on the KISC/NPC rates.
6. Method of payment of the fee: deferred payment.
7. The fee is included in the consolidated invoice for the last expired billing period.

**Article 26. Fee for performing activities
in the performance of the functions of the counting commission**

1. The fee for performing activities related to the functions of the counting commission (hereinafter in this article—the fee) is intended to reimburse the Central Securities Depository for the expenses incurred in sending its employees on business trips to a location other than the city of Almaty where the in-person general meeting is held.
2. Type of fee (by method of calculation) – ad valorem.
3. The payers of the fee are the initiators of the general meetings.
4. The calculation period for the fee is the calendar quarter.
5. The amount of the fee is determined by the Central Securities Depository based on the provisions of its internal documents establishing the procedure for sending Central Securities Depository employees on business trips and the rates for its business travel expenses. Upon request, the Central Securities Depository shall provide the initiator of the general meeting with extracts from the aforementioned internal documents and information regarding its business travel expense rates.
6. The method of payment of the fee is the method of subsequent payment.
7. The fee shall be included in the consolidated invoice pursuant to which the initiator of the general meeting is required to pay the fee for the provision of services in the performance of the functions of the counting commission.

Chapter 4. PAYMENT OF FEES AND CHARGES

Article 27. General Provisions on the Payment of Fees and Charges

1. This Article applies to both individual and consolidated accounts, as well as to accounts presented by the Central Securities Depository in accordance with Article 32 of these Regulations.
2. Payment of the Central Securities Depository's fees and charges shall be made on the basis of invoices issued by the Central Securities Depository (except in cases where payment for the Central Securities Depository's fee-based services is made without the issuance of an invoice in accordance with paragraph 3 of Article 6 and paragraph 2 of Article 16 of these Regulations).

The Central Securities Depository shall attach to the invoices a breakdown of the Central Securities Depository's fees and charges included therein.

3. Invoices shall be issued and paid in tenge (except as provided for in paragraph 4 of this Article).

4. If the payer of the fees and charges is a non-resident of the Republic of Kazakhstan, such payer shall be entitled to pay the Central Securities Depository's fees and charges in foreign currency.

The list of foreign currencies in which the Central Securities Depository accepts payments for its fees and charges is determined by a decision of the Board and published on the Central Securities Depository's website.

The conversion of a fee or charge into foreign currency is carried out at the official exchange rate of the national currency of the Republic of Kazakhstan against the foreign currency, as established by the National Bank, on the date the fee or charge is assessed.

5. The fees and charges of the Central Securities Depository, which are to be paid in cash or by advance payment (except as provided for in Article 32 of these Regulations), shall be calculated and paid by rounding to whole units in accordance with the rules of mathematical rounding to the nearest whole number (a fractional part not exceeding 0.49 units of the payment currency is reduced to zero; a fractional part exceeding 0.49 units of the payment currency is rounded up to one unit of the payment currency).

Article 28. General Provisions on the Preparation and Presentation individual and summary accounts

1. An individual invoice is prepared and presented by the Central Securities Depository to the payer of fees and charges prior to the provision of the Central Securities Depository's services.

If, in accordance with paragraph 3 of Article 6 or paragraph 2 of Article 16 of these Regulations, payment for a fee-based service provided by the Central Securities Depository is made without an invoice being issued, the Central Securities Depository shall prepare and provide a separate invoice to the payer of fees and charges upon the payer's request.

2. A summary invoice shall be prepared and presented by the Central Securities Depository to the payer of fees and charges within 10 calendar days following the end of the billing period for which the fees and charges are included in the summary invoice.
3. The summary statement includes fees for services provided by the Central Securities Depository to the fee and charge payer during the billing period for which the fees are charged, as well as the corresponding charges in accordance with these Regulations.

Article 29. Methods and Dates for Issuing Individual and Consolidated Invoices

1. The Central Securities Depository shall present the invoice to the fee and charge payer in electronic form or as a hard copy.

The electronic invoice is sent to the payer of fees and charges either via the UA method, by email, or through the ESF IS.

The original paper invoice is sent to the payer of fees and charges by mail or delivered to their duly authorized representative at the Central Securities Depository's office.

2. If the invoice was sent to the fee and charge payer electronically, the original of this invoice in paper form may subsequently be provided to said payer upon their request by one of the methods specified in the third paragraph of clause 1 of this article (subject to the exception established by the second paragraph of this clause).

If the invoice was sent to the payer of tariffs and fees electronically via the ESF IS, the original of this invoice on paper shall not be additionally provided to said payer.

3. Upon presentation of the invoice, the date of presentation shall be:
 - 1) when the invoice is presented electronically—the date of sending this invoice to the payer of tariffs and fees;
 - 2) when the bill is presented as an original paper copy sent by mail—the date of receipt of the bill by the payer of tariffs and fees, as indicated on the postal operator's website;
 - 3) when the invoice is presented as an original paper copy by delivering it to a duly authorized representative of the payer of tariffs and fees at the Central Securities Depository's office—the date of such delivery according to the Central Securities Depository's records.

Article 30. Payment of Individual and Consolidated Invoices

1. Invoices issued by the Central Securities Depository shall be paid in the following ways:
 - 1) by wire transfer to the Central Securities Depository's bank account;
 - 2) by payment or non-cash transfer in favor of the Central Securities Depository using remote banking services (mobile applications), a list of which is published on the Central Securities Depository's website as available for the payment of the Central Securities Depository's fees and charges;
 - 3) by depositing cash at the Central Securities Depository's cashier's office.
2. A separate invoice issued by the Central Securities Depository shall be paid prior to the provision of the Central Securities Depository's services or by another deadline specified in such invoice (subject to the provision set forth in paragraph 6 of Article 34 of these Regulations).
3. A consolidated invoice issued by the Central Securities Depository shall be paid within 20 calendar days from the date of issuance of such invoice.

Article 31. Consequences of Non-Payment of Individual and Consolidated Invoices

1. A violation of the requirement set forth in paragraph 2 of Article 30 of these Regulations shall result in the Central Securities Depository's refusal to provide the service specified in a separate account.
2. Violation of the requirement established by paragraph 3 of Article 30 of these Regulations shall result in:
 - 1) suspension of the Central Securities Depository's services to the payer of fees and charges (subject to the provisions set forth in paragraphs 3 and 4 of this Article);
 - 2) the Central Securities Depository filing (at its discretion) a prelitigation claim against the payer of fees and charges (subject to the specific provisions set forth in paragraph 5 of this Article).
3. If the fee payer is an issuer or a partnership, the suspension of the Central Securities Depository's services to such issuer (partnership) in accordance with paragraph 2 of this article shall mean the following:
 - 1) The Central Securities Depository shall refuse to accept, process, and execute orders from such issuer (partnership) to conduct transactions on personal accounts opened in the name of such issuer (partnership) in the Central Securities Depository's accounting system;
 - 2) The Central Securities Depository shall refuse to accept, process, and execute the issuer's (partnership's) orders for the issuance of reporting documents;
 - 3) The Central Securities Depository suspends the accrual of subscription fees for this issuer (partnership);
 - 4) (if the issuer is the fee payer) The Central Securities Depository does not suspend services to holders of instruments issued by this issuer, i.e., it does not suspend the registration of transactions, operations, and events involving instruments issued by this issuer in the personal accounts of holders and in the personal accounts of general representatives;
 - 5) (if the fee payer is a partnership) The Central Securities Depository shall not suspend services to the members of such partnership; that is, the Central Securities Depository shall not suspend the registration of transactions, operations, and events involving shares in the authorized capital of such partnership in the personal accounts of holders and in the personal accounts of general representatives.
4. If the fee payer is a depositor, the suspension of the Central Securities Depository's services to that depositor in accordance with paragraph 2 of this article means the following:
 - 1) The Central Securities Depository shall refuse to accept, process, and execute this depositor's orders for the registration of transactions and operations on subaccounts opened in this depositor's personal account;

- 2) The Central Securities Depository shall refuse to accept, process, and execute this depositor's orders for the issuance of reporting documents;
 - 3) The Central Securities Depository suspends the accrual of the fee for maintaining a personal account for this depositor.
5. In the event of a violation of the requirement established by paragraph 3 of Article 30 of these Regulations, the Central Securities Depository shall be entitled to file a pre-litigation claim against the payer of fees and charges, sending it either electronically via the UA system or by email, or as an original on paper by mail, or by any other means permitted by applicable law.
- Unless otherwise provided by applicable law, the Central Securities Depository's pre-litigation claim shall be deemed to have been duly delivered to the payer of fees and charges and received by the payer from the moment such claim is sent.
6. The provision of the Central Securities Depository's services, suspended in accordance with paragraph 2 of this article, may be resumed. The conditions and procedure for such resumption are set forth in Article 32 of these Regulations.

Article 32. Resumption of Services

1. The provision of Central Securities Depository services, suspended in accordance with paragraph 2 of Article 31 of these Regulations, shall be resumed provided that the payer fully repays its arrears in Central Securities Depository fees and charges and pays an additional fee for the resumption of services.
2. To resume the Central Securities Depository's services, the provision of which was suspended in accordance with paragraph 2 of Article 31 of these Regulations, the payer of fees and charges shall request from the Central Securities Depository, by any means available to them, information regarding the amount of their outstanding balance for the Central Securities Depository's fees and charges, as well as an invoice for payment of this balance.

Upon receipt of such a request, the Central Securities Depository shall prepare and present to the payer of fees and charges an invoice for payment of the debt owed to the Central Securities Depository as of the date of presentation of this invoice. This invoice shall include both the amount of the payer's debt under all invoices previously issued by the Central Securities Depository but not paid by the payer, and the amount of the additional fee for the resumption of services.

The Central Securities Depository issues the aforementioned invoice in a manner analogous to that established by Article 29 of these Regulations for the issuance of individual and consolidated invoices.

3. An invoice issued by the Central Securities Depository for payment of arrears owed to the Central Securities Depository shall be paid within five business days from the date of issuance, after which it becomes invalid.

Payment of the specified invoice shall be made by one of the methods provided for in paragraph 1 of Article 30 of these Regulations.

Violation of the requirement established in the first paragraph of this clause shall entail the need for the payer of fees and charges to request from the Central Securities Depository, once again, information regarding the amount of their debt for the Central Securities Depository's fees and charges and an invoice for payment of such debt.

4. The provision of Central Securities Depository services, suspended in accordance with paragraph 2 of Article 31 of these Regulations, shall be resumed no later than the first business day following the day on which the Central Securities Depository receives the full amount of money both as payment of the fee and charge payer's arrears for the Central Securities Depository's fees and charges and as payment of the additional fee for the resumption of service provision.

Chapter 5. SPECIAL PROVISIONS

Article 33. Payment of fees by the issuer or partnership on behalf of securities holders (partners)

An issuer or partnership is entitled to pay, on behalf of securities holders (partners of the partnership), the Central Securities Depository's fee for the registration of a transaction (operation, event) (hereinafter in this paragraph—the fee), provided that the subject matter of the registered transactions (transactions, events) are securities issued by said issuer or shares in the authorized capital of said partnership, and the fee is paid under the same conditions for all securities holders (partners), without selective payment of the fee only for individual securities holders (partners).

An issuer or partnership intending to pay the fee on behalf of the holders of securities (partners) shall submit a free-form application to the Central Securities Depository.

The fee shall be included in the consolidated statement for the most recent expired settlement period.

Article 34. Payment of Fees and Charges by the Depositor's Client

1. A depositor's client shall pay the Central Securities Depository's fees and charges in the following cases:
 - 1) The Central Securities Depository issues reporting documents to such a client in accordance with Article 70 of the Rules for the Conduct of Registrar and Depository Activities;

- 2) The client submits to the Central Securities Depository, in accordance with Article 139 of the Rules for the Conduct of Registrar and Depository Activities, an order to transfer instruments belonging to the client from the subaccount on which the instruments are recorded to a personal account opened in the client's name in the system of registries of instrument holders.
2. A depositor's client intending to use the services of the Central Securities Depository in accordance with Article 70 and/or Article 139 of the Rules for the Conduct of Registrar and Depository Activities must first fully settle any outstanding balances for the Central Securities Depository's fees and charges associated with the subaccount opened in the client's name, for which purpose the client shall request information from the Central Securities Depository, by any means available to the client, regarding the amount of such outstanding balance.

Upon receiving such a request, the Central Securities Depository prepares and provides the client with information regarding the amount owed in respect of the Central Securities Depository's fees and charges applicable to the subaccount opened in the client's name.

3. Within three business days of receiving information from the Central Securities Depository regarding the amount owed in Central Securities Depository fees and charges applicable to the subaccount opened in the name of the depositor's client, such client shall be entitled to provide the Central Securities Depository with documents confirming that he has paid the fees and charges specified in said information directly to the depositor of whom he was a client (unless such documents were provided by such client to the Central Securities Depository together with the application for establishing business relations).
4. Upon the expiration of six business days from the date the Central Securities Depository receives from the depositor's client the request specified in paragraph 2 of this article, the Central Securities Depository shall present to said client a separate invoice for the purpose of paying the Central Securities Depository's fees and charges relating to the subaccount opened in the name of said client.

In this case, the balance of said account shall be reduced by the amounts paid for the aforementioned fees and charges, as documented by said client in accordance with paragraph 3 of this article.

5. A depositor's client who uses the services of the Central Securities Depository in accordance with Article 70 and/or Article 139 of the Rules for the Conduct of Registrar and Depository Activities shall pay the Central Securities Depository's fees and charges on an advance payment basis by settling the separate invoices issued by the Central Securities Depository.
6. The Central Securities Depository shall present a separate invoice to the depositor's client no later than the first business day following the day on which such client applies to the Central Securities Depository for a service provided for in Article 70 or Article 139 of the Rules for the Conduct of Registration and Depository Activities.

A separate invoice issued by the Central Securities Depository to a depositor's client must be paid within three business days from the date of issuance of such invoice.

Chapter 6. FINAL PROVISIONS

Article 35. Limitation on the Total Amount of Fees and Charges

In connection with the special functions performed by JSC "Information and Accounting Center" (Republic of Kazakhstan) with respect to instruments owned by the state or in respect of which the state holds property rights, the total amount of fees and charges levied by the Central Securities Depository from the aforementioned organization per month shall be the equivalent of 50 MCI.

Article 36. Other Provisions

1. Issues not covered by these Regulations shall be resolved in accordance with the legislation of the Republic of Kazakhstan.
2. In the event that these Regulations conflict with the laws of the Republic of Kazakhstan, the provisions of the laws of the Republic of Kazakhstan shall apply.
3. In the event of unforeseen circumstances resulting in a sharp and, in essence, unjustified increase in the Central Securities Depository's fees for its individual payers, the Board of Directors shall have the right to determine, by separate resolutions, special conditions for the application of these fees or special rates for these fees and/or procedures for calculating these fees, as well as the conditions, procedure, and duration of such special conditions (rates, procedures).

The aforementioned decisions of the Board of Directors shall be published on the Central Securities Depository's website.

Chairman of the Management Board

Yedil Medeu

Appendix Group

1

Activities related to the maintenance of the
of the registry of securities holders
and partnership members

Fee for accepting partnership interests for registration services

Fee details

No. No.	Characteristic	Characteristic value	Notes, explanations, restrictions, special conditions
A	1	2	3
1	Chargeable activity	Activities related to maintaining a system of registries of securities holders and partners in partnerships	
2	Chargeable service	Acceptance of partnership interests for registration services	
3	Tariff type (by billing method)	Object-based	Basis for tariff calculation – provision of a billable service
4	Tariff payers	Partnerships	
5	Billing period	Calendar quarter	
6	Tariff rate	5.00 MCI	
7	Tariff calculation procedure	Not provided	
8	Method of tariff payment	Post-payment method	The rate is included in the consolidated bill for the most recent billing period
9	Preferential tariff rates	None	
10	Additional tariffs	No	
11	Fees	None	
12	Additional conditions for applying the tariff	None	

Subscription fee

Tariff details

No. No.	Characteristic	Characteristic value	Notes, explanations, restrictions, special conditions
A	1	2	3
1	Chargeable activity	Activities related to maintaining the system of registers of securities holders and partners in partnerships	
2	Chargeable service	Maintenance of a register of securities holders or a register of partnership members	
3	Tariff type (by billing method)	Time-based	For each billing period
4	Tariff payers	Issuers, management companies, partnerships, custodians	
5	Billing period	Calendar quarter	
6	Tariff rate	See Appendix 1.3	
7	Tariff calculation procedure	See Appendix 1.4	
8	Method of tariff payment	Post-payment method	The rate is included in the consolidated bill for the most recent billing period
9	Preferential tariff rates	Yes. See the attached document below	
10	Additional tariffs	Yes. See the attached document below	
11	Fees	None	
12	Additional conditions for applying the tariff	Yes. See this appendix below	

9. Preferential tariff rates

1. Preferential tariff rate 1: zero tariff rate if the tariff payer is an organization declared bankrupt in accordance with the legislation of the Republic of Kazakhstan.
2. Preferential tariff rate 2: a zero tariff rate if the tariff payer is a financial institution against which a court decision on compulsory liquidation has entered into force.

10. Additional tariffs

Additional tariff: for the resumption of service provision.

Additional fee rate: 28.0 MCI.

The additional fee rate is established for each full billing period during which the provision of services by the Central Securities Depository was suspended in accordance paragraph 2 of Article 31 of these Regulations.

The payer of the additional fee is the issuer or partnership for which the provision of Central Securities Depository services was suspended in accordance with paragraph 2 of Article 31 of these Regulations.

12. Additional conditions for applying the tariff

1. The fee is calculated for each type of security (excluding shares), based on the shares in the authorized capital of each partnership.

With respect to shares issued by the same joint-stock company, the fee is charged for maintaining the register of holders of all classes of shares, both common and preferred.
2. The fee begins to accrue on the date on which the Central Securities Depository began providing the fee payer with the service of maintaining the register of securities holders or partnership members.
3. The fee shall be suspended in the following cases:
 - 1) suspension of the activities of the organization that is the fee payer;
 - 2) suspension of the Central Securities Depository's services to the fee payer in accordance with paragraph 2 of Article 31 of these Regulations.
4. If the accrual of the fee is suspended due to the suspension of operations of the organization that is the fee payer:
 - 1) for the purpose of calculating the fee, the Central Securities Depository shall consider the suspension of that organization's activities to mean the suspension of its submission of tax reports as a taxpayer (tax agent) in accordance the Tax Code of the Republic of Kazakhstan;
 - 2) the accrual of the fee shall be suspended from the date the Central Securities Depository receives from the tax authority of the Republic of Kazakhstan information in the form of Appendix 1 to the Rules for the Provision of Public Services "Acceptance of Tax Returns" and "Suspension and Resumption of the Submission of Tax Returns," approved by Order of the Minister of Finance of the Republic of Kazakhstan No. 695 dated November 12, 2025, regarding the suspension of tax reporting by said organization;
 - 3) the accrual of the fee shall resume on the first calendar day following the end of the period of suspension of the submission of tax reports by this organization, in accordance with the specified information;
 - 4) the fee payer is responsible for providing the Central Securities Depository with the specified information.

5. If the accrual of the fee is suspended due to the suspension of the Central Securities Depository's services to the fee payer in accordance with paragraph 2 of Article 31 of these Regulations:
 - 1) the accrual of the fee shall be suspended from the beginning of the billing period in which the Central Securities Depository's services to the fee payer have been suspended;
 - 2) the accrual of the fee shall resume from the beginning of the billing period in which the Central Securities Depository resumed providing services to the fee payer in accordance with Article 32 of these Regulations.
6. The accrual of the fee shall cease on any of the grounds listed in the table below, effective from the first calendar day following the day on which the event specified in the table below occurred.

Reason	Event
Cancellation of a securities issue	Registration by the Central Securities Depository of the cancellation of the securities issue
Redemption of securities	Registration of the redemption of securities by the Central Securities Depository
Termination of the agreement on maintaining the register of partnership members	Termination of the agreement on maintaining the register of partnership members
Declaration of bankruptcy of an organization that is a fee payer	Entry into force of the court's decision declaring the organization that is the tariff payer bankrupt
Entry into force of the court decision on the compulsory liquidation of the organization that is the tariff payer	Entry into force of the court's decision on the compulsory liquidation of an organization that is a tariff payer
Application of a rehabilitation procedure to an organization that is a tariff payer	Entry into force of a court decision on the application of rehabilitation proceedings to an organization that is a tariff payer
Closure of a mutual investment fund	Termination by the Central Securities Depository of the registration of transactions (operations) involving units of a mutual investment fund

Subscription fee

Tariff rates

No.	Number of individual accounts in the registry*		Subscription fee rate, MCI
	minimum	Max	
A	1	2	3
1	0	10	16.00
2	11	200	18.00
3	201	∞	26.00

* The number of personal accounts in the registry is determined according to the table below, as of as of the end of the last calendar day of the calculation period or—if the Central Securities Depository has ceased providing the service of maintaining the register of securities holders or partnership members before the end of the calculation period—as of the beginning of the last day on which this service was provided.

Type of registry	Number of individual accounts in the registry for the purpose of calculating the fee
Shareholder register	Number of individual accounts in which shares of all classes issued by the same joint-stock company are registered
Register of holders of securities other than shares	Number of individual accounts in which securities other than shares of the same denomination are registered
Register of partnership members	Number of individual accounts in which shares in the authorized capital of the same the same partnership
Register of holders of claims	The number of client accounts in which instruments with expired terms and claims related to such instruments are registered

Subscription fee

Procedure and examples
tariff calculation**Part 1. Glossary**

In this appendix:

- 1) “registry maintenance” means the provision of services by the Central Securities Depository for the creation, maintenance, and storage of the registry, as well as for keeping it up to date;
- 2) the number of personal accounts is determined in accordance with Appendix 1.2 to these Regulations.

Part 2. Calculation Procedure

1. If the billing period coincides with a calendar quarter, the subscription fee shall be equal to the applicable rate.
2. If the billing period coincides with the calendar quarter, the subscription fee is calculated using the formula:

$$S = R \times \frac{D}{Q}, \text{ where}$$

- S – subscription fee, tenge;
- R – subscription fee rate, determined in accordance with Appendix 1.2 to these Regulations;
- D – the duration of the billing period, in days;
- Q – the number of calendar days in the calendar quarter within which the calculation period falls, in days.

Part 3. Calculation Examples**Example 1. The calculation period coincides with the calendar quarter.**

Initial data: continuous maintenance of the register of shareholders of a certain joint-stock company during the second quarter of 2026. The number of individual accounts as of the close of business on June 30, 2026, is 131.

According to Appendix 1.2 to these Regulations, the subscription fee rate for 11 to 200 (inclusive) individual accounts is 18.0 times the MCI. As of January 1, 2026, the MCI is 4,325.00 tenge.

$$18 \times 4,325 = 77,850.$$

Accordingly, the subscription fee for the second quarter of 2026 is 77,850.00 tenge.

Example 2. The calculation period coincides with the calendar quarter.

Initial data: continuous maintenance of the register of holders of a certain type of bond during the second quarter of 2026. Bonds of this type were not placed.

According to Appendix 1.2 to these Regulations, the subscription fee rate when there are zero personal accounts is 16.0 times the MCI. The MCI as of January 1, 2026, is 4,325.00 tenge.

$$16.0 \times 4,325 = 69,200.$$

Accordingly, the subscription fee for the second quarter of 2026 is 69,200.00 tenge.

Example 3. The calculation period does not coincide with the calendar quarter.

Initial data: shares of a certain joint-stock company were included in the register on April 25, 2026. The number of individual accounts as of the close of business on June 30, 2026, is 131.

According to Appendix 1.2 to these Regulations, the subscription fee rate for 11 to 200 (inclusive) individual accounts is 18.0 times the MCI. The MCI as of January 1, 2026, is 4,325.00 tenge. The duration (in days) of the continuous period from April 25 to June 30, 2026, is 66 (5+31+30) days. The number of calendar days in the second quarter of 2026 is 91 (30+31+30).

$$(18 \times 4,325) \times (66 \div 91) = 56,462.64.$$

Accordingly, the subscription fee for the second quarter of 2026 is 56,462.64 tenge.

Example 4. The billing period does not coincide with the calendar quarter.

Initial data: Maintenance of the registry of holders of a particular bond issue was terminated on June 2, 2026, due to the redemption of these bonds on that date. As of June 2, 2026, the number of holders of this bond issue was 54.

According to Appendix 1.2 to these Regulations, the subscription fee rate for 11 to 200 individual accounts is 18.0 times the MCI. As of January 1, 2026, the MCI is 4,325.00 tenge. The duration (in days) of the continuous period from April 1 to June 2, 2026, is 63 (30+31+2) days. The number of calendar days in the second quarter of 2026 is 91 (30+31+30).

$$(18.0 \times 4,325) \times (63 \div 91) = 53,896.15.$$

Accordingly, the subscription fee for the second quarter of 2026 is 53,896.15 tenge.

Fee for opening a personal account for the account holder

Fee Details

No. No.	Characteristic	Characteristic value	Notes, explanations, restrictions, special conditions
A	1	2	3
1	Chargeable activity	Activities related to maintaining the system of registers of securities holders and partnership members	
2	Chargeable service	Opening a personal account for a legal entity	
3	Tariff type (by billing method)	Object-based	Basis for tariff calculation – provision of a billable service
4	Tariff payers	Legal entities	
5	Billing period	Calendar quarter	The billing period applies in cases where the tariff payers are issuers, management companies, and partnerships
6	Tariff rate	See Appendix 1.6	
7	Tariff calculation procedure	Not provided	
8	Method of tariff payment		
8.1	In cases where the tariff payers are issuers, management companies, or partnerships	Method of subsequent payment	The fee is included in the consolidated bill for the most recent expired billing period
8.2	In cases where the fee payers are not issuers, management companies, or partnerships	Method of advance payment	The fee is included in a separate bill, except when payment for the service is made without an invoice being issued in accordance with paragraph 3 of Article 6 of these Regulations
9	Preferential tariff rates	None	
10	Additional tariffs	No	
11	Fees	None	
12	Additional conditions for applying the tariff	None	

Fee for opening a personal account for the cardholder

Fee rates

No. No.	Service	Unit Rate	Rate	Notes, Explanations, Limitations, Special Conditions
A	1	2	3	4
1	Opening a personal account for a legal entity that is a resident of the Republic of Kazakhstan	MCI	5.0	
2	Opening a personal account for a legal entity that is a non-resident of the Republic of Kazakhstan or an organization registered in the AIFC	MCI	10.0	

Fee for registering a transaction
(transactions, events)

Fee details

No. No.	Characteristic	Characteristic value	Notes, explanations, restrictions, special conditions
A	1	2	3
1	Chargeable activity	Activities related to maintaining the system of registers of securities holders and partnership members	
2	Chargeable service	Transaction registration (transactions, events)	
3	Tariff type (by billing method)	Object-based	Basis for rate calculation – provision of a billable service
4	Tariff payers	Registered persons	
5	Billing period	Calendar quarter	The billing period applies in cases where the tariff payers are issuers, management companies, and partnerships
6	Tariff rate	See Appendix 1.8	
7	Tariff calculation procedure	Not provided	
8	Method of tariff payment		
8.1	In cases where the tariff payers are issuers, management companies, or partnerships	Method of subsequent payment	The fee is included in the consolidated bill for the most recent expired billing period
8.2	In cases where the fee payers are not issuers, management companies, or partnerships	Method of advance payment	The fee is included in a separate bill, except when payment for the service is made without an invoice being issued in accordance with paragraph 3 of Article 6 of these Regulations
9	Preferential tariff rates	Yes. See this appendix below	
10	Additional tariffs	Yes. See this appendix below	
11	Fees	None	
12	Additional conditions for applying the tariff	None	

9. Preferential tariff rates

Preferential tariff rate: a zero tariff rate applies if the tariff payer is an individual who is a citizen of the Republic of Kazakhstan or a person holding a residence permit to reside in the Republic of Kazakhstan, falling into one or more of the following categories:

- 1) participants in the Great Patriotic War and persons equated to them;

- 2) persons awarded orders and medals of the former USSR for selfless labor and exemplary military service in the rear during the Great Patriotic War;
- 3) persons awarded the title of "Halyq Kharamany";
- 4) persons with disabilities with valid disability status;
- 5) persons whose disability has been established without a re-examination period;
- 6) mothers of large families who have been awarded the "Altyn Alqa" or "Kumis Alqa" medals, or who have previously received the title of "Mother Heroine," and who have been awarded the "Motherly Glory" Order, 1st or 2nd class;
- 7) persons who are recipients of pension benefits in accordance with the legislation of the Republic of Kazakhstan on social protection.

10. Additional tariffs

1. Additional tariff 1: for the receipt or issuance of an operational document in the form of an original on paper or via a file-sharing portal.

Additional tariff rate: 5.0 MCI for the execution of a single order to register a transaction (operation, event) accepted by the Central Securities Depository in the form of an original on paper or via a file-sharing portal.

The additional tariff is not charged to persons for whom a preferential fee rate has been established.
2. Additional tariff 2: for the receipt or issuance of an operational document after the end of the business day.

Additional tariff rate: 5.0 MCI per order to register a transaction (operation, event) accepted by the Central Securities Depository after the close of business.

Fee for registering a transaction (operation, event)	Fee rates
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No. No.	Service	Unit Rate	Rate	Notes, explanations, restrictions, special conditions
A	1	2	3	4
1	Recording of a transaction (operation, event) relating to all instruments of the same name	MCI	1.50	The fee is charged to the issuer, management company, or partnership
2	Registration of a transaction (operation, event) without a transfer of funds, except for transactions (operations, events) specified in other rows of this table	MCI	0.30	The fee is charged to each applicant for the registration of a transaction (operation, event)
3	Registration of a transaction (operation, event) involving a money transfer, except for transactions (operations, events) specified in other rows of this table	MCI	0.60	The fee is charged to each applicant to register a transaction (operation, event)
4	Registration of a purchase and sale transaction concluded during the placement of instruments (excluding shares)	MCI	0.60	The fee is charged to the recipient of the instruments
5	Registration of a purchase and sale transaction concluded upon placement of shares	MCI	0.60	The fee is charged to the sender of the instruments
6	Registration of a purchase and sale transaction concluded upon the repurchase of voting shares by a 95% shareholder	MCI	0.60	The fee is charged to the recipient of the instruments
7	Registration of a transaction (operation, event) involving instruments that were previously pledged	MCI	1.50	The fee is charged to each order submitter for the registration of a transaction (operation, event)

Fee for information services		Fee details	
No. No.	Characteristic	Characteristic value	Notes, explanations, restrictions, special conditions
A	1	2	3
1	Chargeable activity	Maintenance of the registry system for securities holders and partnership members	
2	Chargeable service	Provision of an operational or other document or a copy thereof	
3	Tariff type (by billing method)	Object-based	Basis for calculating the tariff – provision of a billable service
4	Tariff payers	Registered persons	
5	Billing period	Calendar quarter	The billing period applies in cases where the tariff payers are issuers, management companies, and partnerships
6	Tariff rate	See Appendix 1.10	
7	Tariff calculation procedure	Not provided	
8	Method of tariff payment		
8.1	In cases where the tariff payers are issuers, management companies, or partnerships	Method of subsequent payment	The fee is included in the consolidated bill for the most recent expired billing period
8.2	In cases where the fee payers are not issuers, management companies, or partnerships	Method of advance payment	The fee is included in a separate bill, except when payment for the service is made without an invoice being issued in accordance with paragraph 3 of Article 6 of these Regulations
9	Preferential tariff rates	Yes. See this appendix below	
10	Additional tariffs	Yes. See this appendix below	
11	Fees	None	
12	Additional conditions for applying the tariff	None	

9. Preferential tariff rates

1. Preferential tariff rate 1: zero rate for issuing a standard-form reporting document via the UA method.
2. Preferential tariff rate 2: zero tariff rate if the tariff payer is an individual—a citizen of the Republic of Kazakhstan or a person holding a residence permit to reside in the Republic of Kazakhstan, falling into one or more of the following categories:
 - 1) participants in the Great Patriotic War and persons equated to them;
 - 2) persons awarded orders and medals of the former USSR for selfless labor and exemplary military service in the rear during the Great Patriotic War;
 - 3) persons awarded the title of "Halyq Kharamany";
 - 4) persons with disabilities with valid disability status;
 - 5) persons whose disability has been established without a re-examination period;
 - 6) mothers of many children who have been awarded the "Altyn Alqa" or "Kumis Alqa" pendants, or who have previously received the title of "Mother Heroine," and who have been awarded the "Motherly Glory" Order, 1st or 2nd class;
 - 7) persons who are recipients of pension benefits in accordance with the legislation of the Republic of Kazakhstan on social protection.

10. Additional tariffs

1. Additional tariff 1: for the receipt or issuance of an operational document in the form of an original on paper or via the file-sharing portal.

Additional tariff rate: 1.0 MCI for the issuance by the Central Securities Depository of one reporting document via the file-sharing portal.

The additional tariff is not charged to persons for whom a preferential fee rate has been established.
2. Additional tariff 2: for the receipt or issuance of an operational document after the end of the business day.

Additional tariff rate: 5.0 MCI for the issuance by the Central Securities Depository of one reporting document after the end of the business day.
3. Additional tariff 3: for the issuance of an operational document in electronic form by a method other than the EDMS method or the UA method.

Additional tariff rate: 1.0 MCI for the Central Securities Depository to provide one copy of a reporting document via email.

The additional tariff is not charged to persons for whom a preferential rate under Tariff 2 has been established.
4. Additional tariff 4: for sending the original or a copy of an operational document on paper via postal mail.

Additional tariff rate: 0.25 MCI per shipment of originals and copies of reporting documents on paper via postal service.
5. Additional tariff 5: for issuing a document prepared based on data from documents previously accepted by the Central Securities Depository and the Unified Registrar in paper form.

The additional tariff rate is determined according to the table below as the rate corresponding to the earliest data from documents previously accepted by the Central Securities Depository and the Unified Registrar on paper and used to prepare the document, including from documents (information) accepted from organizations that previously operated the system of securities holder registries.

The period to which the data in the documents used pertains	Additional tariff rate*
Commencing no earlier than January 1, 2019	1.0 MCI
Beginning no earlier than January 1, 2013 and ended no later than December 31, 2018	2.0 MCI
Ending no later than December 31, 2012	4.0 MCI

* For the issuance of one document.

Fee for information services	Rate
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No. No.	Service	Unit of Measure Rate	Rate	Notes, explanations, restrictions, special conditions
A	1	2	3	4
1	Issuance of a standard-form reporting document in the form of a hard copy, with the exception of a list of shareholders indicating their ultimate beneficial owners, issued pursuant to an order of the issuer	MCI	1.00	For the issuance by the Central Securities Depository of a single reporting document containing data for the period beginning no earlier than January 1, 2013
2	Issuance of a reporting document in a non-standard format in the form of a hard copy	MCI	1.50	For the issuance by the Central Securities Depository of a single reporting document containing data for the period beginning no earlier than January 1, 2013.
3	Issuance, based on the issuer's order, of a list of shareholders indicating their ultimate beneficial owners	MCI	500.00	For the issuance by the Central Securities Depository of a single list of shareholders indicating their ultimate owners (as a hard copy or in electronic form). The fee is charged to the issuer
4	Issuance of a copy of a standard or non-standard operational document on paper	MCI	1.50	For the issuance by the Central Securities Depository of a copy of a single operational document
5	Sending correspondence to securities holders (partners)	MCI	2.00	For sending correspondence to a single securities holder or partnership member. The fee is charged to the person who has applied to the Central Securities Depository for the service

Activities related to maintaining the registry system for holders of digital financial assets

[Reserved]

Appendix Group

3

Custodial activities

Fee for opening a personal account

Rate Plan Details

No. No.	Characteristic	Characteristic value	Notes, explanations, restrictions, special conditions
A	1	2	3
1	Chargeable activity	Depository activities	
2	Chargeable service	Opening a personal account	
3	Tariff type (by billing method)	Object-based	Basis for tariff calculation – provision of a billable service
4	Tariff payers	Depositors, KASE Clearing Center	
5	Billing period	Calendar month	
6	Tariff rate	See Appendix 3.2	
7	Tariff calculation procedure	Not provided	
8	Method of tariff payment	Post-payment method	The rate is included in the consolidated bill for the most recent billing period
9	Preferential tariff rates	Yes. See this appendix below	
10	Additional tariffs	No	
11	Fees	None	
12	Additional conditions for applying the tariff	None	

9. Preferential tariff rates

1. Preferential tariff rate 1: zero rate if the payer is an organization recognized by the central securities depository in accordance the laws of the state of its registration as a legal entity.
The preferential tariff rate applies to relationships arising on or after October 1, 2025.
2. Preferential tariff rate 2 (temporary): a zero tariff rate if the tariff payer is a participant in cross-exchange trading.
The preferential tariff rate applies to billable services provided prior to January 1, 2027.

Fee for opening a personal account	Tariff Rates
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No. No.	Service	Unit Rate	Rate	Notes, explanations, restrictions, special conditions
A	1	2	3	4
1	Opening a client account for a depositor who is a resident of the Republic of Kazakhstan or a KASE clearing center	MCI	10.0	
2	Opening a personal account for a depositor who is a non-resident of the Republic of Kazakhstan or an organization registered in the AIFC	MCI	50.0	

Fee for maintaining a personal account

Fee details

No. No.	Characteristic	Characteristic value	Notes, explanations, restrictions, special conditions
A	1	2	3
1	Chargeable activity	Depository activities	
2	Chargeable service	Maintenance of a personal account	
3	Tariff type (by billing method)	Time-based	For each billing period
4	Tariff payers	Depositors	
5	Billing period	Calendar month	
6	Tariff rate	See Appendix 3.4	
7	Tariff calculation procedure	Not provided	
8	Method of tariff payment	Post-payment method	The rate is included in the consolidated bill for the most recent billing period
9	Preferential tariff rates	Yes. See this appendix below	
10	Additional tariffs	Yes. See this appendix below	
11	Fees	None	
12	Additional conditions for applying the tariff	Yes. See this appendix below	

9. Preferential tariff rates

1. Preferential tariff rate 1: a zero rate if the service being billed is provided without assigning a specific manager to the rate payer, and the tariff payer is a resident of the Republic of Kazakhstan.
2. Preferential tariff rate 2: a zero tariff rate if the service subject to the tariff is provided without assigning a specific manager to the tariff payer, and the tariff payer is a non-resident of the Republic of Kazakhstan from among the following:
 - 1) an organization recognized by the Central Securities Depository in accordance the laws of the state of its incorporation as a legal entity;
 - 2) the AIX (Astana International Exchange) central securities depository;
 - 3) an organization registered within the AIFC in accordance with applicable AIFC law.

3. Preferential tariff rate 3 (Temporary): a zero tariff rate if the tariff payer is a participant in cross-exchange trading.

The preferential tariff rate applies to chargeable services provided prior to January 1, 2027.

10. Additional tariffs

Additional tariff: for the resumption of services.

The additional tariff rate is equal to the tariff rate for maintaining a personal account, as determined in accordance with Appendix 3.4 to these Regulations.

The additional tariff rate is established for each full billing period during which the Central Securities Depository's services were suspended in accordance with paragraph 2 of Article 31 of these Regulations.

The payer of the additional fee is the depositor for whom the provision of Central Securities Depository services was suspended in accordance with paragraph 2 of Article 31 of these Regulations.

12. Additional conditions for applying the tariff

1. The fee shall not be charged if there were no instruments in the sub-accounts opened under the personal account throughout the entire billing period.
2. The accrual of the fee is suspended if the provision of Central Securities Depository services to the fee payer is suspended in accordance with paragraph 2 of Article 31 of these Regulations; in such cases:
 - 1) the accrual of the fee is suspended from the beginning of the settlement period in which the Central Securities Depository's services to the fee payer are suspended;
 - 2) the accrual of the fee shall resume from the beginning of the billing period in which the Central Securities Depository resumed providing services to the fee payer in accordance with Article 32 of these Regulations.

Fee for maintaining a personal account	Fee Rates
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No. No.	Service	Unit of Measure Rate	Rate	Notes, explanations, restrictions, special conditions
A	1	2	3	4
1	Maintenance of a personal account for a resident of the Republic of Kazakhstan with a dedicated account manager for the fee payer	MCI	207.00	
2	Maintenance of a personal account for a non-resident of the Republic of Kazakhstan eligible for preferential tariff rate 2, with a dedicated account manager assigned to the tariff payer	MCI	207.00	
3	Maintenance of a personal account for a non-resident of the Republic of Kazakhstan who is not eligible for the preferential rate of Tariff 2:			
3.1	• without assigning a dedicated manager assigned to the fee payer	MCI	\$350.00	
3.2	• with a dedicated account manager for the tariff payer	MCI	626.00	

Fee for opening a sub-account

Tariff specifications

No. No.	Characteristic	Characteristic value	Notes, explanations, restrictions, special conditions
A	1	2	3
1	Chargeable activity	Depository activities	
2	Chargeable service	Opening a subaccount	
3	Tariff type (by billing method)	Object-based	Basis for tariff calculation – provision of a billable service
4	Tariff payers	Depositors	
5	Billing period	Calendar month	
6	Tariff rate	5.0 MCI	
7	Tariff calculation procedure	Not provided	
8	Method of tariff payment	Post-payment method	The rate is included in the consolidated bill for the most recent billing period
9	Preferential tariff rates	Yes. See this appendix below	
10	Additional tariffs	No	
11	Fees	None	
12	Additional conditions for applying the tariff	None	

9. Preferential tariff rates

Preferential tariff rate: a zero rate in cases where a subaccount is opened for of the depositor's clients who is a resident of the Republic of Kazakhstan.

Fee for maintaining a sub-account

Fee characteristics

No. No.	Characteristic	Characteristic value	Notes, explanations, restrictions, special conditions
A	1	2	3
1	Chargeable activity	Depository activities	
2	Chargeable service	Subaccount maintenance	
3	Tariff type (by billing method)	Time-based	For each billing period
4	Tariff payers	Depositors, depositors' clients, KASE clearing center	
5	Billing period	Calendar month	
6	Tariff rate	See Appendix 3.7	
7	Tariff calculation procedure	See Appendix 3.8	
8	Method of tariff payment		
8.1	In cases where the fee payers are depositors or the KASE clearing center	Method of subsequent payment	The fee is included in the summary account for the most recent expired settlement period
8.2	In cases where the tariff payers are the depositors' clients	Method of subsequent payment	The tariff is included in a separate bill for the most recent expired billing period
9	Preferential tariff rates	Yes. See this appendix below	
10	Additional tariffs	No	
11	Fees	Fee for payment of fees of third-party organizations	The fee is charged to reimburse the Central Securities Depository for the services of custodial organizations in the custody of instruments and other expenses of the Central Securities Depository related with the aforementioned services
12	Additional conditions for applying the tariff	None	

9. Preferential tariff rates

Preferential tariff rate: zero rate if the subaccount holder is an organization declared bankrupt in accordance with the laws of the Republic of Kazakhstan.

Fee for maintaining a sub-account

Fee rates

No. No.	Range, tenge*		Tariff rate†	
	Lower limit	Upper limit	Fixed portion, tenge	Variable portion, ‡
A	1	2	3	4
1	0.00	8,000,000,000.00	–	0.0025
2	8,000,000,000.01	13,000,000,000.00	200,000.00	0.002
3	13,000,000,000.01	18,000,000,000.00	300,000.00	0.0015
4	18,000,000,000.01	30,000,000,000.00	375,000.00	0.001
5	30,000,000,000.01	45,000,000,000.00	495,000.00	0.0007
6	45,000,000,000.01	80,000,000,000.00	600,000.00	0.0005
7	80,000,000,000.01	200,000,000,000.00	775,000.00	0.000475
8	200,000,000,000.01	500,000,000,000.00	1,345,000.00	0.000465
9	500,000,000,000.01	2,000,000,000,000.00	2,740,000.00	0.000450
10	2,000,000,000,000.01	8,000,000,000,000.00	9,490,000.00	0.00043
11	8,000,000,000,000.01	∞	35,290,000.00	0.000408

* The range within which the total average monthly (in tenge) value of the instruments recorded in the sub-account during the calendar month for which the fee is charged falls.

† The fee rate is equal to the sum of its fixed and variable components.

‡ For line 1. As a percentage of the total average monthly (in tenge) value of instruments held in the subaccount during the calendar month for which the fee is charged.

For the remaining lines. As a percentage of the difference between the total average monthly (in tenge) value of instruments held in the subaccount during the calendar month for which the fee is charged, and the integer value of the lower limit of the range in which this value falls. For example, for line 2, the integer value of the lower limit of the range is 8,000,000,000.00.

Fee for maintaining a subaccount	Method of calculating the fee
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Part 1. Determination of Daily Instrument Values

- 1.1. Daily instrument values are required to calculate the average monthly value of instruments in the calendar month for which the subaccount maintenance fee is charged.

The daily values of instruments are determined for each individual instrument as the value of one instrument of that type at the end of each individual business day of the calendar month for which the sub-account maintenance fee is charged.

- 1.2. To calculate the sub-account maintenance fee, the value of one instrument of a given type at the end of a given business day is determined in accordance with the procedure established in paragraph 1.4 or 1.5, or 1.6 of this Annex, depending on whether the instruments of a given type are equity or debt instruments, and whether the equity instruments of a given type are shares or not.

In paragraphs 1.4 and 1.5 of this Annex, the subparagraphs are listed in descending order of priority: the transition to the next subparagraph in sequence is made in the absence of the data specified in the previous subparagraph.

- 1.3. In paragraphs 1.4–1.6 of this Annex, “accounting organization” means the accounting organization in whose Central Securities Depository account the instruments of the specified name are recorded. The Central Securities Depository publishes on its website the prices or values of instruments provided by the accounting organization that are recorded in the Central Securities Depository’s account with the accounting organization and are not valued by KASE.
- 1.4. The value of a single equity instrument of any type (excluding a unit) at the end of the business day is calculated as:
- 1) the market price (without a discount) of one instrument of that type, published by KASE on its website for that day;
 - 2) the price of one instrument of that type at the end of that day according to the accounting organization’s reporting document;
 - 3) the latest of the market prices (without discount) of one instrument of this name published by KASE on its website for any of the 180 days preceding this day;
 - 4) the notional (default) value of one instrument of this type, equal to 0.1 times the current MCI.
- 1.5. The value of one debt instrument of a given name as of the end of the business day is determined as follows:
- 1) the nominal value of one instrument of a given type, as provided to the Central Securities Depository by the accounting organization;

- 2) the par value of one instrument of that type, as established by a document available to the Central Securities Depository that specifies the terms of issuance, placement, circulation, and redemption of instruments of that type;
 - 3) the notional (default) value of one instrument of this denomination, equal to one unit of the currency in which the nominal value of instruments of this denomination is expressed.
- 1.6. The value of one share of a given class at the end of the business day shall be the nominal value of one share at the time of the initial placement of shares of that class, as provided to the Central Securities Depository by the accounting organization or the management company of the mutual investment fund.

Part 2. Calculation of Average Monthly Values of Instruments

- 2.1. Hereinafter in this Appendix, the average monthly value of instruments shall mean the average monthly value of instruments that were recorded on the subaccount during the calendar month for which the subaccount maintenance fee is charged.
- 2.2. The average monthly values of instruments are calculated for each individual instrument, with the exception of claims and clearing participation certificates.
- 2.3. The average monthly value of instruments of any type is calculated using the formula:

$$V_i = \frac{\sum_{d=1}^m N_d \times Q_d \times C_d}{m}, \text{ where}$$

- V_i – the average monthly value of instruments of the i-th type as of the end of the d-th business day, in tenge;
- N_d – the cost of one instrument of the i-th type at the end of the d-th business day, determined in accordance with Part 1 of this Annex in the currency in which the cost of instruments of the i-th type is expressed;
- Q_d – the number of instruments of the i-th type recorded in the subaccount as of the end of the d-th business day (subject to the provision set forth in paragraph 2.4 of this Annex);
- C_d – the exchange rate of the tenge against the currency in which the value of instruments of the i-th type is denominated, as of the end of the d-th business day (taking into account the provision set forth in paragraph 2.4 of this Annex);
- m – the number of business days in a calendar month (subject to the provision set forth in paragraph 2.6 of this Annex).
- 2.4. To calculate the fee for maintaining a subaccount when calculating the average monthly value of instruments of a given type, the number of instruments of that type as of the end of any business day shall be reduced by:
- 1) the number of instruments of that type that were recorded in the “underlying asset” section of the sub-account at the end of that day as the underlying asset for derivative financial instruments;
 - 2) the number of instruments of that type recorded in the “early redemption” section of the sub-account as of the end of that day;

- 3) the number of instruments of this type recorded in the “blocked” section of the subaccount as of the end of the day.
- 2.5. When calculating the average monthly value of instruments of a given type, the official exchange rate of the tenge against the currency in which the value of the instruments of that type is expressed, as of the beginning of a given business day, shall be used as the tenge exchange rate against that currency at the end of that business day, or – if the value of instruments of a given type is denominated in tenge – one unit.
- 2.6. If, at the start or end of a depositor’s service period, the depositor was served for less than a full calendar month, the number of business days in that month shall be the actual number of full and partial business days during which the depositor was served in that calendar month.

Part 3. Determination of the applicable subaccount maintenance fee rate

- 3.1. For the purpose of determining the applicable sub-account maintenance fee rate, the average monthly values of the instruments calculated in accordance with Part 2 of this Annex shall be summed (taking into account that the average monthly values of claim rights and clearing participation certificates are not calculated).
- 3.2. The sub-account maintenance fee rates are determined in accordance with Appendix 3.7 to these Regulations based on the total average monthly value of instruments determined in accordance with paragraph 3.1 of this Appendix.

Part 4. Calculation of the Subaccount Maintenance Fee

The sub-account maintenance fee is calculated in tenge based on the total average monthly value of instruments calculated in accordance with paragraph 3.1 of this Appendix and the applicable rate of this fee determined in accordance with Appendix 3.7 to these Regulations.

Fee for registering a transaction
(transaction, event)

Rate Details

No. No.	Characteristic	Characteristic value	Notes, explanations, restrictions, special conditions
A	1	2	3
1	Chargeable activity	Depository activities	
2	Chargeable service	Transaction registration (transactions, events)	
3	Tariff type (by billing method)	Object-based	Basis for rate calculation – provision of a billable service
4	Tariff payers	Depositors, depositors' clients, KASE clearing center	
5	Billing period	Calendar month	Billing period not applicable in cases where the fee payers are the clients of depositors
6	Tariff rate	See Appendices 3.10 and 3.11.	Appendix 3.10: General tariff rates Appendix 3.11: Special tariff rates
7	Tariff calculation procedure	Not provided	
8	Method of tariff payment		
8.1	In cases where the fee payers are the depositor or the KASE clearing center	Method of subsequent payment	The total fee is included in the summary account for the most recent expired settlement period. The special fee is included in the consolidated bill for the billing period following the billing period for which the special rate was charged
8.2	In cases where the tariff payers are the depositors' customers	Method of advance payment	The rate is included in a separate invoice, except when payment for the service is made without an invoice being issued in accordance with paragraph 3 of Article 6 of these Regulations

No. No.	Characteristic	Characteristic value	Notes, explanations, restrictions, special conditions
A	1	2	3
9	Preferential tariff rates	None	
10	Additional tariffs	Yes. See the appendix below	
11	Fees	Yes. See this appendix below	
12	Additional conditions for applying the tariff	None	

10. Additional tariffs

- Additional tariff 1: for receiving or issuing an operational document in the form of an original on paper or via a file-sharing portal.
Additional tariff rate: 5.0 MCI per execution of a single order to register a transaction (operation, event) accepted by the Central Securities Depository in the form of an original paper document.
The additional tariff is not charged to depositors' clients.
- Additional tariff 2: for the receipt or issuance of an operational document after the end of the business day.
Additional tariff rate: 5.0 MCI for the execution of one order to register a transaction (operation, event) accepted by the Central Securities Depository after the end of the business day.

11. Fees

- Fee 1: fee for the payment of third-party organizations' fees.
The fee is charged to reimburse the Central Securities Depository's expenses for paying the services of accounting organizations for the registration of transactions (operations, events) and other expenses of the Central Securities Depository related to such services.
- Fee 2: a fee to cover the services of the KISC/NPC when transferring funds to the IFTS.
The fee is charged when the Central Securities Depository registers transactions (operations, events) requiring money transfers for positions in the IFTS.

Fee for registering a transaction (operation, event)	General tariff rates
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No. No.	Service	Unit of Measure Rate	Rate	Notes, explanations, restrictions, special conditions
A	1	2	3	4
1	Deposit or withdrawal of foreign instruments*:			The fee is charged to the party submitting the order to register a transaction (operation, event)
1.1	• without a money transfer	MCI	0.30	
1.2	• with money transfer	MCI	1.00	
2	The entry of foreign instruments*, as a result of which they were credited to a nominee account opened in the name of the Central Securities Depository with a record-keeping organization that is not a legal entity of the state, in accordance the laws under which the issue of these instruments is registered:			The tariff rate applies if there is a nominee account opened in the name of the Central Securities Depository with a record-keeping organization that is a legal entity of the state under the laws of which the issue of these instruments is registered. The fee is charged to the party submitting the order to register a transaction (operation, event)
2.1	• in the National Bank's personal account	MCI	570.00	
2.2	• to another personal account	MCI	950.00	

No. No.	Service	Unit of Measure Rate	Rate	Notes, explanations, restrictions, special conditions
A	1	2	3	4
3	Withdrawal of foreign debt securities*, classified as a "Mark-Down" transaction	%†	0.2	Not less than 315.0 MCI. The tariff rate applies in cases where the Central Securities Depository's accounting organizations classify the withdrawal in accordance with the international standard ISO 15022 as a "Mark-Down" transaction, and the issuers of the withdrawn (withdrawn) debt securities are not residents of the Republic of Kazakhstan. The fee is charged to the party submitting the order to register the transaction (operation, event)
4	Cancellation of an order to register the entry or withdrawal of foreign instruments*:			The fee is charged to the party submitting the order to register the transaction (operation, event)
4.1	• without a money transfer	MCI	0.20	
4.2	• with money transfer	MCI	1.00	
5	Registration of a transaction (operation) concluded on an unregulated financial market			The fee is charged to applicants submitting orders for the registration of transactions (operations, events) ‡
5.1	• without a transfer of funds	MCI	0.30	
5.2	• with money transfer	MCI	0.60	
6	Registration of a transaction (operation) concluded on an organized financial market	MCI	0.21	The fee is charged by the KASE clearing house
7	Registration of net transactions concluded on an organized financial market	MCI	0.21	For the execution of a single transfer of instruments. The fee is charged by the KASE clearing center

* Deposit: registration of instruments in the Central Securities Depository's book-entry system.

Withdrawal: registration of the withdrawal of instruments from the Central Securities Depository's book-entry system.

† Based on the total nominal value of the debt securities withdrawn (to be withdrawn).

‡ If a transaction (operation) concluded on an unorganized financial market is registered based on an order from a single depositor, that depositor pays the fee for each side of the transaction.

Fee for registering a transaction
(operation, event)

Special fee rates

No. No.	The country under whose laws the securities are issued. Type of securities	Rate, MCI	
		Deposit or withdrawal* without money transfer	Deposit or withdrawal* with money transfer
A	1	2	3
1	The Kyrgyz Republic:		
1.1	• Equity securities	0.30	–
1.2	• debt securities	0.30	–
2	Luxembourg:		
2.1	• equity securities	1.00	1.50
2.2	• debt securities	1.00	1.50
3	Kingdom of the Netherlands:		
3.1	• equity securities	3.00	3.50
3.2	• debt securities	2.50	3.00
4	Russian Federation:		
4.1	• equity securities	1.20	1.70
4.2	• debt securities	1.20	1.70
5	United Kingdom of Great Britain and Northern Ireland:		
5.1	• equity securities	1.80	2.30
5.2	• debt securities	2.00	2.50
6	United States of America:		
6.1	• Equity securities	1.30	1.90
6.2	• debt securities	1.60	2.10
7	Other countries:		
7.1	• equity securities	3.50	4.50
7.2	• debt securities	4.50	5.50

* Issuance: registration of the issuance of securities in the Central Securities Depository's book-entry system.

Conclusion: Registration of the withdrawal of securities from the Central Securities Depository's book-entry system.

Fee for tax administration
for U.S. instruments

Fee Schedule

No. No.	Characteristic	Characteristic value	Notes, explanations, restrictions, special conditions
A	1	2	3
1	Chargeable activity	Depository activities	
2	Chargeable service	Tax administration for U.S. instruments	
3	Tariff type (by billing method)	Object-based	Basis for calculating the tariff – provision of a billable service
4	Tariff payers	Depositors	
5	Billing period	Calendar month	
6	Tariff rate	See Appendix 3.13	
7	Tariff calculation procedure	Not provided	
8	Method of tariff payment	Post-payment method	The rate is included in the consolidated bill for the most recent billing period
9	Preferential tariff rates	None	
10	Additional tariffs	No	
11	Fees	Fee for payment of fees by third-party organizations	The fee is charged to reimburse the Central Securities Depository for the payment of services provided by accounting organizations
12	Additional conditions for applying the tariff	None	

Fee for tax administration of U.S. instruments

Tariff Rates

No. No.	Service	Unit Rate	Rate	Notes, explanations, restrictions, special conditions
A	1	2	3	4
1	Processing of the tax identification form	MCI	27.00	
2	Taking actions aimed at reducing taxes	MCI	\$17.00	
3	Performing actions aimed at tax refund	MCI	19.00	

Fee for information services

Rate details

No. No.	Characteristic	Characteristic value	Notes, explanations, restrictions, special conditions
A	1	2	3
1	Chargeable activity	Depository activities	
2	Chargeable service	Provision of an operational or other document or a copy thereof	
3	Tariff type (by billing method)	Object-based	Basis for calculating the tariff – provision of a billable service
4	Tariff payers	Issuers, depositors, depositors' clients, KASE clearing center	Issuers – legal entities that have issued instruments in accordance the laws of countries other than the Republic of Kazakhstan
5	Billing period		
5.1	In cases where the fee payers are depositories, clients of depositories, or the KASE clearing center	Calendar month	
5.2	In cases where the fee payers are issuers	Calendar quarter	
6	Tariff rate	See Appendix 3.15	
7	Tariff calculation procedure	Not provided	
8	Method of tariff payment		
8.1	In cases where the fee payers are issuers, depositors, or the KASE clearing center	Method of subsequent payment	The fee is included in the summary account for the most recent expired settlement period
8.2	In cases where the tariff payers are the depositors' clients	Method of advance payment	The tariff is included in a separate invoice, except when payment for the service is made without an invoice being issued in accordance with paragraph 3 of Article 6 of these Regulations

No. No.	Characteristic	Characteristic value	Notes, explanations, restrictions, special conditions
A	1	2	3
9	Preferential tariff rates	Yes. See this appendix below	
10	Additional tariffs	Yes. See this appendix below	
11	Fees	None	
12	Additional conditions for applying the tariff	None	

9. Preferential tariff rates

Preferential tariff rate: a zero fee for issuing a standard-form reporting document via the UA method or through the "Deponent" automated workstation.

10. Additional tariffs

1. Additional tariff 1: for receiving or issuing an operational document after the end of the business day.

Additional tariff rate: 5.0 MCI for the issuance by the Central Securities Depository of one reporting document after the end of the business day.

2. Additional tariff 2: for the issuance of an operational document in electronic form by a method other than the EDMS method or the UA method.

Additional tariff rate: 0.04 MCI for the issuance by the Central Securities Depository of one standard-form reporting document in electronic form via the SWIFT method.

3. Additional tariff 3: for the issuance of an operational document in electronic form by a method other than the EDMS method or the UA method.

Additional tariff rate: 1.0 MCI for the issuance by the Central Securities Depository of one reporting document in standard or non-standard form in electronic form using a method other than the EDMS method, the UA method, or the SWIFT method.

Fee for Information Services	Fee rates
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No. No.	Service	Unit of Measure Rate	Rate	Notes, explanations, restrictions, special conditions
A	1	2	3	4
1	Issuance of a standard-form reporting document as a hard copy	MCI	1.00	For the issuance by the Central Securities Depository of one reporting document
2	Issuance of a reporting document in a non-standard format in the form of a paper original	MCI	1.50	For the issuance by the Central Securities Depository of one reporting document
3	Issuance of a copy of a standard or non-standard operational document on paper	MCI	1.50	For the issuance by the Central Securities Depository of a copy of one operational document

Appendix Group

4

Activities related to the recording and storage
of digital assets

[Reserved]

Appendix Group

5

Custodial activities

[Reserved]

Appendix Group

6

Conducting certain types of
banking operations

Fee for opening a bank account

Fee details

No. No.	Characteristic	Characteristic value	Notes, explanations, restrictions, special conditions
A	1	2	3
1	Chargeable activity	Conducting certain types of banking operations	
2	Chargeable service	Opening a bank account	The fee is charged when opening a bank account for a direct holder
3	Tariff type (by billing method)	Object-based	Basis for calculating the fee – provision of a fee-based service
4	Tariff payers	Direct instrument holders	
5	Billing period	Calendar quarter	
6	Tariff rate	See Appendix 6.2	
7	Tariff calculation procedure	Not provided	
8	Method of tariff payment		
8.1	In cases where the tariff payers are issuers, management companies, or partnerships	Method of subsequent payment	The fee is included in the consolidated bill for the most recent expired billing period
8.2	In cases where the fee payers are not issuers, management companies, or partnerships	Method of advance payment	The fee is included in a separate bill, except when payment for the service is made without an invoice being issued in accordance with paragraph 3 of Article 6 of these Regulations
9	Preferential tariff rates	None	
10	Additional tariffs	No	
11	Fees	None	
12	Additional conditions for applying the tariff	None	

Bank account opening fee	Fee rates
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No. No.	Service	Unit of Measure Rate	Rate	Notes, explanations, restrictions, special conditions
A	1	2	3	4
1	Opening a bank account for a direct holder who is a resident of the Republic of Kazakhstan	MCI	1.0	
2	Opening a bank account for a direct holder who is a non-resident of the Republic of Kazakhstan	MCI	1.5	

Money transfer fee

Fee details

No. No.	Characteristic	Characteristic value	Notes, explanations, restrictions, special conditions
A	1	2	3
1	Chargeable activity	Conducting certain types of banking operations	
2	Chargeable service	Money transfer from a bank account	
3	Tariff type (by billing method)	Object-based	Basis for calculating the tariff – provision of a chargeable service
4	Tariff payers	Depositors, KASE, KASE Clearing House	
5	Billing period	Calendar month	
6	Tariff rate	See Appendix 6.4	
7	Tariff calculation procedure	Not provided	
8	Method of tariff payment	Post-payment method	The rate is included in the consolidated bill for the most recent billing period
9	Preferential tariff rates	Yes. See this appendix below	
10	Additional tariffs	Yes. See this appendix below	
11	Fees	Yes. See this appendix below	
12	Additional conditions for applying the tariff	None	

9. Preferential tariff rates

Preferential tariff rate: zero rate if funds are transferred between bank accounts opened with the Central Securities Depository

10. Additional tariffs

1. Additional tariff 1: for receiving or issuing an operational document in the form of an original on paper or via a file-sharing portal.

Additional tariff rate: 5.0 MCI for a money transfer based on an instruction received by the Central Securities Depository in the form of an original paper document or via a file-sharing portal.

2. Additional tariff 2: for receiving or issuing an operational document after the end of the business day.

Additional tariff rate: 5.0 MCI for a money transfer based on an instruction received by the Central Securities Depository after the end of the business day.

11. Fees

1. Fee 1: fee for the payment of third-party organization fees.

The fee is charged to reimburse the Central Securities Depository for the costs of paying for the services of servicing banks and other third-party organizations necessary for the execution of money transfers.

2. Fee 2: a fee to cover the services of the KISC/NPC when executing money transfers in the IFTS.

The fee is charged for money transfers by position in the IFTS.

Money Transfer Fee	Rate Schedule
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No. No.	Service	Unit of Measure Rate	Rate	Notes, explanations, restrictions, special conditions
A	1	2	3	4
1	Transfer of funds in tenge to a bank account at another bank based on the payer's instructions	MCI	0.20	A fee is charged for KISC/NPC services when making money transfers to the IFTS
2	Money transfer in foreign currency to a bank account at another bank based on the payer's instructions	MCI	1.00	A fee is charged to cover third-party organization fees
3	Withdrawal of funds (in tenge or foreign currency) from the payer's bank account upon execution of a purchase and sale transaction concluded by the Central Securities Depository in the KASE trading system on behalf of said payer or their client	MCI	1.00	For each instance of funds being debited
4	Change, at the payer's initiative, of the terms regarding the transferred funds in foreign currency (change of transfer terms, cancellation of the transfer, return of transferred funds)	MCI	15.00	A fee is charged to cover third-party organization fees
5	Return of received funds to the sender's account at the payer's request	MCI	15.00	A fee is charged for the services of KISC/NPC when making money transfers to the IFTS or to pay fees to third-party organizations (depending on the currency of the transfer)
6	Transfer in accordance with the payer's instructions of funds in foreign currency due to the client of this payer	MCI	15.00	The fee rate applies in cases of money transfers in foreign currency from a bank account opened in the name of the Central Securities Depository at the servicing bank and intended for the accounting of funds belonging to those persons (depositors, clients of depositors) subject to the prohibitions, requirements, restrictions, and special conditions established by the servicing bank. A fee is charged for the payment of fees to third-party organizations

Fee for information services

Tariff specifications

No. No.	Characteristic	Characteristic value	Notes, explanations, restrictions, special conditions
A	1	2	3
1	Chargeable activity	Conducting certain types of banking operations	
2	Chargeable service	Provision of an operational or other document or a copy thereof	
3	Tariff type (by billing method)	Object-based	Basis for calculating the tariff – provision of a billable service
4	Tariff payers	Depositors, KASE, KASE Clearing House	
5	Billing period	Calendar month	
6	Tariff rate	See Appendix 6.6	
7	Tariff calculation procedure	Not provided	
8	Method of tariff payment	Post-payment method	The rate is included in the consolidated bill for the most recent billing period
9	Preferential tariff rates	Yes. See this appendix below	
10	Additional tariffs	Yes. See this appendix below	
11	Fees	None	
12	Additional conditions for applying the tariff	None	

9. Preferential tariff rates

Preferential tariff rate: zero rate for issuing a standard-form reporting document via the UA method or through the "Bank–Client" automated teller machine.

10. Additional tariffs

1. Additional tariff 1: for receiving or issuing an operational document after the end of the business day.

Additional tariff rate: 5.0 MCI for the issuance by the Central Securities Depository of a single reporting document after the end of the business day.

2. Additional tariff 2: for the issuance of a document prepared based on data from documents previously accepted by the Central Securities Depository and the Unified Registrar in paper form.

Additional tariff rate: 5.0 MCI for the issuance by the Central Securities Depository of one document prepared based on data from documents previously accepted by the Central Securities Depository in paper form.

Fee for information services			Fee rates	
No. No.	Service	Unit of Measure Rate	Rate	Notes, explanations, restrictions, special conditions
A	1	2	3	4
1	Issuance of a standard-form reporting document as a hard copy	MCI	1.00	For the issuance by the Central Securities Depository of one reporting document
2	Issuance of a non-standard report as a hard copy	MCI	1.50	For the issuance by the Central Securities Depository of one reporting document
3	Issuance of a copy of a standard or non-standard operational document on paper	MCI	1.50	For the issuance by the Central Securities Depository of a copy of one operational document
4	Performing additional actions with the issued reporting document in standard or non-standard form, as instructed by the fee payer in the form of an original on paper or with a copy of a standard or non-standard form of an operational document issued on paper (e.g., numbering, stapling, scanning)	MCI	0.50	For performing additional actions of a single type (e.g., actions involving only numbering, or only binding, or only scanning) with a single reporting document or with a copy of a single operational document
5	Issuance, upon the payer's request, of a standard or non-standard reporting document in electronic form	MCI	1.00	The fee applies in cases where the preferential rate does not apply or when a standard-form reporting document, previously issued via the UA method or through the "Bank-Client" ARM, is reissued
6	Issuance, upon the payer's request, of information regarding their bank accounts for submission to an auditing firm	MCI	1.45	

Fee for crediting funds
in foreign currency

Fee details

No. No.	Characteristic	Characteristic value	Notes, explanations, restrictions, special conditions
A	1	2	3
1	Chargeable activity	Conducting certain types of banking operations	
2	Chargeable service	Deposit of funds in foreign currency to a bank account of the Central Securities Depository at the servicing bank	
3	Tariff type (by billing method)	Object-based	Basis for calculating the fee – provision of a fee-based service
4	Tariff payers	Depositors	
5	Billing period	Calendar month	
6	Tariff rate	See Appendix 6.8	
7	Tariff calculation procedure	Not provided	
8	Method of tariff payment	Post-payment method	The rate is included in the consolidated bill for the most recent billing period
9	Preferential tariff rates	None	
10	Additional tariffs	No	
11	Fees	None	
12	Additional conditions for applying the tariff	No	

Fee for crediting funds in foreign currency	Fee rates
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No. No.	Service	Unit Rate	Rate	Notes, explanations, restrictions, special conditions
A	1	2	3	4
1	Deposit of foreign currency into the Central Securities Depository's bank account at the servicing bank, which is a bank in accordance with the banking legislation of the Republic of Kazakhstan	MCI	6.0	The tariff rate applies in cases where funds in foreign currency is to be credited to the bank account of the KASE clearing center at the Central Securities Depository
2	Crediting of funds in foreign currency to the Central Securities Depository's bank account at a servicing bank that is not a bank in accordance with the banking legislation of the Republic of Kazakhstan	MCI	0.5	

Fee for tracing the status of a payment

Fee details

No. No.	Characteristic	Characteristic value	Notes, explanations, restrictions, special conditions
A	1	2	3
1	Chargeable activity	Conducting certain types of banking operations	
2	Chargeable service	Tracking the status of a payment	
3	Tariff type (by billing method)	Object-based	Basis for tariff calculation – provision of a billable service
4	Tariff payers	Depositors	
5	Billing period	Calendar month	
6	Tariff rate	1.0 MCI	
7	Tariff calculation procedure	Not provided	
8	Method of tariff payment	Post-payment method	The rate is included in the consolidated bill for the most recent billing period
9	Preferential tariff rates	None	
10	Additional tariffs	No	
11	Fees	Collection of fees from third-party organizations	A fee is charged when it is necessary to obtain paid assistance from the servicing bank in tracing the status of a payment
12	Additional conditions for applying the tariff		

Activities related to the performance of payment agent functions

Fee for transferring amounts due to eligible holders

Fee Schedule

No. No.	Characteristic	Characteristic value	Notes, explanations, restrictions, special conditions
A	1	2	3
1	Chargeable activity	Activities to perform the functions of a payment agent	
2	Chargeable service	Transfer of amounts due to eligible holders	
3	Tariff type (by billing method)	Object-based	Basis for tariff calculation – provision of a billable service
4	Tariff payers	Issuers	
5	Billing period	Calendar quarter	
6	Tariff rate	See Appendix 7.2	
7	Tariff calculation procedure	Not provided	
8	Method of tariff payment	Post-payment method	The rate is included in the consolidated bill for the most recent billing period
9	Preferential tariff rates	Yes. See this appendix below	
10	Additional tariffs	No	
11	Fees	Fee for payment of fees third-party organizations	The fee is charged in cases of transfers of amounts due to eligible holders in foreign currencies to bank accounts opened at other banks
12	Additional conditions for applying the tariff	None	

9. Preferential tariff rates

Preferential tariff rate: zero tariff rate if the payer of the tariff is an issuer of government securities (Ministry of Finance of the Republic of Kazakhstan, National Bank, local executive body of the Republic of Kazakhstan).

Fee for transferring amounts due to eligible holders

Tariff rates

No. No.	Service	Unit of measurement Tariff rate	Rate	Notes, explanations, restrictions, special conditions
A	1	2	3	4
1	Money transfers in tenge or foreign currency to a bank account opened with the Central Securities Depository	MCI	0.20	
2	Transfer of funds in tenge to a bank account opened at another bank	MCI	0.40	
3	Transfer of funds in foreign currency to a bank account held at another bank	MCI	1.00	A fee is charged to cover third-party organization fees

Activities related to the accounting of unclaimed funds

Fee for accepting unclaimed funds

Fee Details

No. No.	Characteristic	Characteristic value	Notes, explanations, restrictions, special conditions
A	1	2	3
1	Chargeable activity	Activity related to the accounting of unclaimed funds	
2	Chargeable service	Acceptance of unclaimed funds	
3	Tariff type (by billing method)	Object-based	Basis for tariff calculation – provision of a billable service
4	Tariff payers	Issuers and 95% shareholders	
5	Billing period	Calendar quarter	The calculation period applies in cases where the tariff payers are issuers
6	Tariff rate	See Appendix 8.2	
7	Tariff calculation procedure	Not provided	
8	Method of tariff payment		
8.1	In cases where the tariff payers are issuers	Method of subsequent payment	The fee is included in the consolidated bill for the most recent expired billing period
8.2	In cases where the tariff payers are 95% shareholders	Method of advance payment	The tariff is included in a separate invoice, except when payment for the service is made without an invoice being issued in accordance with paragraph 3 of Article 6 of these Regulations
9	Preferential tariff rates	Yes. See this appendix below	
10	Additional tariffs	None	
11	Fees	None	
12	Additional conditions for applying the tariff	None	

9. Preferential tariff rates

Preferential tariff rate: zero tariff rate if all of the following conditions are met:

- 1) there are no discrepancies between the information about the eligible holder provided by the fee payer and the information about that eligible holder in the Central Securities Depository's accounting system;
- 2) The Central Securities Depository has not yet transferred the amount due to this beneficial owner or to the depositor of whom the beneficial owner is a client.

Fee for Accepting Unclaimed Funds	Fee Rates
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No. No.	Service	Unit of Measure Rate	Rate	Notes, explanations, restrictions, special conditions
A	1	2	3	4
1	Acceptance of unclaimed funds in tenge	MCI	$1.5 + 0.03 \times n$	n – number of unidentified beneficiaries
2	Acceptance of unclaimed funds in foreign currency	MCI	$1.5 + (0.03 + K) \times n$	K – the National Bank's fee rate for transferring foreign currency to a bank account opened at another bank* n – number of unidentified beneficiaries
3	Receipt of funds classified as unclaimed funds in tenge [†]	MCI	$0.03 \times n$	n – number of unidentified beneficiaries
4	Receipt of funds classified as unclaimed foreign currency funds [†]	MCI	$(0.03 + K) \times n$	K – the National Bank's fee rate for transferring foreign currency to a bank account opened at another bank* n – number of unidentified beneficiaries
5	Withdrawal, replacement, or correction by the issuer of the list of holders	MCI	30.00	

* The National Bank's tariffs are set forth in Appendix 2 to Resolution No. 13 of the Board of Directors of the National Bank dated March 14, 2018 "On Approval of the Operating Day Schedule of the National Bank of the Republic of Kazakhstan, Types and Amounts of Fees for Services Provided by the National Bank of the Republic of Kazakhstan." The National Bank's tariff, set in foreign currency, is converted into tenge at the official exchange rate of the national currency of the Republic of Kazakhstan against foreign currency established by the National Bank as of the date the fee is charged.

† Receipt of funds when the Central Securities Depository acts as a paying agent, if the amounts due were not paid to the rightful holders due to the issuer's and/or the securities holder registry system's lack of information regarding the current details of these holders and, as a result, were classified by the Central Securities Depository as unclaimed funds.

Fee for investing unclaimed funds

Fee Specifications

No. No.	Characteristic	Characteristic value	Notes, explanations, restrictions, special conditions
A	1	2	3
1	Chargeable activity	Activities related to the accounting of unclaimed funds	
2	Chargeable service	Investment of unclaimed funds	
3	Tariff type (by billing method)	Object-based	Basis for calculating the tariff – provision of a billable service
4	Tariff payers	Authorized holders	
5	Billing period	Calendar year	
6	Tariff rate	0.8% of the amount due	
7	Tariff calculation procedure	Yes. See the appendix below	
8	Method of tariff payment	Method of subsequent payment	Payment is made by withholding the fee from the investment income due
9	Preferential tariff rates	None	
10	Additional tariffs	No	
11	Fees	None	
12	Additional conditions for applying the tariff	Yes. See this appendix below	

7. Tariff calculation procedure

The tariff is calculated using the formula:

$$\min(\text{PS} \times 0.008; \text{ID}), \text{ where}$$

$\min(X; Y)$ – the operation of finding the minimum value from the arguments X and Y;

PS – amount due as of the end of the billing period;

ID – the amount of money due to the eligible holder as a result of the distribution of aggregate investment income

12. Additional conditions for applying the tariff

1. The fee is not charged in the event of a negative return on the investment of unclaimed funds.
2. If the beneficial owner is classified as an unidentified beneficiary, the fee is withheld from the funds due to the beneficial owner as a result of the distribution of aggregate investment income.

Activities related to the assignment
Identifier

Fee for the provision of services for assigning identifiers

Fee details

No. No.	Characteristic	Characteristic value	Notes, explanations, restrictions, special conditions
A	1	2	3
1	Chargeable activity	Activity related to the assignment of identifiers	
2	Chargeable service	Simultaneous assignment of ISIN, CFI, and FISN codes	
3	Tariff type (by billing method)	Object-based	Basis for fee calculation – provision of a billable service
4	Tariff payers	Issuers, partnerships, the KASE clearing center, and banks that have issued bank deposit certificates	
5	Billing period	Calendar quarter	
6	Tariff rate	5.0 MCI	
7	Tariff calculation procedure	Not provided	
8	Method of tariff payment	Post-payment method	The rate is included in the consolidated bill for the most recent billing period
9	Preferential tariff rates	Zero tariff rate if the tariff payer is an issuer of government securities of the Republic of Kazakhstan	
10	Additional tariffs	None	
11	Fees	None	
12	Additional conditions for applying the tariff	None	

Activities related to the performance of
the functions of the counting commission

Fee for the provision of services
for the performance of
of the counting commission

Fee details

No. No.	Characteristic	Characteristic value	Notes, explanations, restrictions, special conditions
A	1	2	3
1	Chargeable activity	Activities related to the performance of the functions of the counting commission	
2	Chargeable service	Performing the functions of the counting commission during a general meeting	
3	Tariff type (by billing method)	Object-based	Basis for calculating the rate – provision of a billable service
4	Tariff payers	Issuers, partnerships	
5	Billing period	Calendar quarter	
6	Tariff rate	See Appendix 10.2	
7	Tariff calculation procedure	Not provided	
8	Method of tariff payment	Post-payment method	The rate is included in the consolidated bill for the most recent billing period
9	Preferential tariff rates	None	
10	Additional tariffs	No	
11	Fees	Fee for performing the functions of the counting commission	
12	Additional conditions for applying the tariff	None	

Fee for services rendered in the performance of the functions of the counting commission	Fee rates
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No. No.	Service	Unit of Measure Rate	Rate	Notes, explanations, restrictions, special conditions
A	1	2	3	4
1	Performance of the duties of the counting committee for an in-person general meeting, basic service	MCI	30,00× D	For each member of the Central Securities Depository's staff who performed actions on its behalf that relate to the functions of the counting commission. D – duration of the counting commission services, in full days*
2	Performance of the functions of the counting commission in connection with an in-person general meeting, basic service	MCI	1.00× Q	For each item on the agenda of the in-person general meeting. Q – number of registered participants in the in-person general meeting, in people
3	Performance of the duties of the counting committee in relation to the general meeting held by correspondence using the electronic voting system	MCI	30.00	

- * If the in-person general meeting was held within the city of Almaty, D is taken to be equal to the number of full and partial days during which the meeting was held.
- If the in-person general meeting was held outside the city of Almaty and required the Central Securities Depository's employees to travel on business, D is taken to be equal to the number of full and partial days of the business trip during which members of the Central Securities Depository's staff group were present, performing on its behalf actions that relate to the functions of the counting commission.

Appendix Group

11

Separate Tariffs

Rate for software support Software

Rate details

No. No.	Characteristic	Characteristic value	Notes, explanations, restrictions, special conditions
A	1	2	3
1	Chargeable service	Support for software developed by the Central Securities Depository	
2	Tariff type (by billing method)	Time-based	
3	Tariff payers	Depositors	
4	Billing period	Calendar month	
5	Tariff rate	See Appendix 11.2	
6	Tariff calculation procedure	Not provided	
7	Method of tariff payment	Post-payment method	The rate is included in the consolidated bill for the most recent billing period
8	Preferential tariff rates	None	
9	Additional rates	No	
10	Fees	None	
11	Additional conditions for applying the tariff	None	

Software maintenance fee
for software

Rates

No. No.	Service	Rate, tenge per month
A	1	2
1	Support for the "Deponent" workstation:	
1.1	• for the first workstation	2,000.00
1.2	• For the second or any subsequent workstation	1,000.00
2	Support for the "Bank-Client" workstation:	
2.1	• for the first workstation	1,500.00
2.2	• for the second or any subsequent workstation	1,000.00

Fee for using
the electronic voting system

Rate details

No. No.	Characteristic	Characteristic value	Notes, explanations, restrictions, special conditions
A	1	2	3
1	Chargeable service	Provision of access to the electronic voting system	
2	Tariff type (by billing method)	Object-based	Basis for calculating the tariff – provision of a billable service
3	Tariff payers	Issuers	
4	Billing period	Calendar quarter	
5	Tariff rate	30.0 MCI	
6	Tariff calculation procedure	Not provided	
7	Method of tariff payment	Post-payment method	The rate is included in the consolidated bill for the most recent billing period
8	Preferential tariff rates	None	
9	Additional tariffs	No	
10	Fees	None	
11	Additional conditions for applying the tariff	None	

Fee for issuing of an electronic digital signature

Tariff specifications

No. No.	Characteristic	Characteristic value	Notes, explanations, restrictions, special conditions
A	1	2	3
1	Chargeable service	Issuance of an electronic digital signature	
2	Tariff type (by billing method)	Object-based	Basis for tariff calculation – provision of a billable service
3	Tariff payers	Legal entities that are not residents of the Republic of Kazakhstan	
4	Billing period	Calendar month	
5	Tariff rate	2.00 MCI	
6	Tariff calculation procedure	Not provided	
7	Method of tariff payment		
7.1	If the fee payer is a depositor	Method of subsequent payment	The fee is included in the consolidated bill for the most recent past billing period
7.2	If the rate payer is the direct holder	Method of advance payment	The tariff is included in a separate invoice, except when payment for the service is made without an invoice being issued in accordance with paragraph 3 of Article 6 of these Regulations
8	Preferential tariff rates	None	
9	Additional tariffs	No	
10	Fees	None	
11	Additional conditions for applying the tariff	None	