

# CENTRAL SECURITIES DEPOSITORY JSC

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**Approved**

by the decision of the Management Board  
of Central  
Securities Depository JSC

(Minutes of the meeting  
dated December 14, 2018 No. 66)

**Effective**

from January 01, 2019

## **RULES** **of the "Personal Account" system of** **Central** **Securities Depository JSC**

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Almaty  
2018

These Rules have been developed in accordance with the legislation of the Republic of Kazakhstan and define the conditions and procedure for the use of electronic services provided by Central Securities Depository JSC (hereinafter – the Central Securities Depository) on the portal <https://portal.kacd.kz>.

1. These Rules use the concepts, terms, and abbreviations defined by the legislation of the Republic of Kazakhstan and the internal document of the Central Securities Depository "Introduction to the Code of Rules of Central Securities Depository JSC".
2. For the purposes of these Rules, the following abbreviations and concepts are used:
  - "authentication"** – verification of the identity of the entered identifier based on verification of the entered password or verification of the correctness of the message with the signed EDS identification code of the user;
  - "authorization"** – allowing the client access to the portal after successful authentication;
  - "personal account"** or **"electronic service"** – the web page of the Central Securities Depository portal;
  - "password"** – a sequence of characters known only to the client, which is used by him in combination with the account name (login) to authenticate the client when logging into the portal;
  - Central Securities Depository Portal** – an online resource (<https://portal.kacd.kz>), containing a domain name, the right to which belongs to the Central Securities Depository, which combines a set of electronic services provided by the Central Securities Depository;
  - "EDS"** – an electronic digital signature.
3. These rules governing relations related to the use of the Central Securities Depository's electronic services are not public offers and do not oblige the Central Securities Depository to provide access to the portal to every person who applies.
4. Only registration certificates issued by the following certification centers can be used on the Central Securities Depository's portal:
  - 1) National Certification Center JSC (obtaining registration certificates through PSC Registration Centers <http://pki.gov.kz> );
  - 2) RSE "Kazakhstan Interbank Settlement Center of the National Bank of the Republic of Kazakhstan" (obtaining registration certificates from the Central Securities Depository in accordance with the internal document of the Central Securities Depository "Rules for Issuing and Revoking registration certificates for the exchange of electronic documents").
5. The person signing the electronic document is determined by the information included in the registration certificate, with which the EDS was generated.
6. In accordance with the Law of the Republic of Kazakhstan "On Electronic Document and Electronic Digital Signature", a document transmitted or accepted through the electronic services of the portal, certified by an EDS of a person authorized to sign it, is equivalent to a signed document on paper.
7. The procedure for obtaining access to the electronic services of the Central Securities Depository portal is described in paragraph 3 of the internal document of the Central Securities Depository "Rules for Accepting and Issuing Operational Documents", which is part of the Central Securities Depository's set of rules.

8. By accessing the electronic services of the Central Securities Depository portal, the client consents to the Central Securities Depository to collect, process, store and distribute personal data in accordance with the requirements of the Law of the Republic of Kazakhstan "On Personal Data and their Protection" and other applicable legislation of the Republic of Kazakhstan.
9. The Central Securities Depository notifies clients of changes to these Rules by posting a message on its official website no later than ten business days before the changes to the Rules come into force.
10. In order to carry out technical or preventive work, the Central Securities Depository notifies customers of the suspension of electronic services on the portal, indicating the duration of access restrictions by sending information to customers in any available way.
11. To use the electronic services of the Central Securities Depository portal, you must:
  - 1) a computer device with an installed Internet browser running on layout engines: WebKit, Edge, Gecko, Blink, Presto, Trident, KHTML;
  - 2) the NCALayer crypto provider installed on a computer device (for registration certificates of JSC National Certification Center) or Tumar (for registration certificates of RSE Kazakhstan Interbank Settlement Center of the National Bank of the Republic of Kazakhstan);
  - 3) connecting a computer device to the Internet.
12. To enter the Central Securities Depository portal, the client must go through the authorization process, and only after the successful completion of the authorization are electronic services provided to the client for use.

President

B.Kh. Kapyshev